



Modulation of nicotine-associated behaviour in rats by μ -opioid signals from the medial prefrontal cortex to the nucleus accumbens shell

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Keywords:	nicotine-associated behaviour, μ -opioid receptor, medial prefrontal cortex, nucleus accumbens shell, small-animal neuroimaging

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**Modulation of nicotine-associated behaviour in rats by
 μ -opioid signals from the medial prefrontal cortex to the nucleus
accumbens shell**

Running title: MORs in the mPFC modulate nicotine cravings.

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Abstract

Nicotine addiction is a concern worldwide. Most mechanistic investigations are on nicotine substance dependence properties based on its pharmacological effects. However, no effective therapeutic treatment has been established. Nicotine addiction is reinforced by environments or habits. We demonstrate the neurobiological basis of the behavioural aspect of nicotine addiction. We utilised the conditioned place preference to establish nicotine-associated behavioural preferences (NABP) in rats. Brain-wide neuroimaging analysis revealed that the medial prefrontal cortex (mPFC) was activated and contributed to NABP. Chemogenetic manipulation of μ -opioid receptor positive (MOR⁺) neurons in the mPFC or the excitatory outflow to the nucleus accumbens shell (NAcShell) modulated the NABP. Electrophysiological recording confirmed that the MOR⁺ neurons directly regulate the mPFC-NAcShell circuit via GABA_A receptors. Thus, the MOR⁺ neurons in the mPFC modulate the formation of behavioural aspects of nicotine addiction via direct excitatory innervation to the NAcShell, which may provide new insight for the development of effective therapeutic strategies.

Key words: nicotine-associated behaviour, μ -opioid receptor, medial prefrontal cortex, nucleus accumbens shell, small-animal neuroimaging.

Introduction

Tobacco has been used for a long history as a recreational substance which can be traced back to ancient civilisations in the Americas [1]. Modern medical science

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58 clearly demonstrates that sustained tobacco use induces profound health hazards such
59 as lung cancer, heart disease, and respiratory problems. The Global Burden of
60 Disease Project estimated that the worldwide consumption of approximately 7.4
61 trillion cigarettes in 2019 led to approximately 7 million deaths [2]. However, its
62 addictive nature makes it difficult for them to quit. The addictive nature of tobacco is
63 believed to be primarily a result of the pharmacological effects of nicotine. Nicotine
64 acts on nicotinic acetylcholine receptors (nAChRs) located in the brain, contributing
65 to its addictive properties. Multiple pharmacological effects of nicotine have been
66 reported and widely discussed as the substance dependence property of nicotine. For
67 example, nicotine activates the mesolimbic dopaminergic system which is a critical
68 reward system in the brain to produce euphoria, leading to reinforcement and
69 sustaining nicotine intake [3]. On the other hand, nicotine addiction is reinforced by
70 some conditioned habits or specific scenes without the direct pharmacological effect
71 of nicotine, indicating the behavioural aspect of nicotine addiction [4-6] which is
72 similar to general behavioural addiction, such as gambling[7, 8] and binge-eating
73 disorder [9]. However, whether and how the underlying neurobiological mechanisms
74 of the behavioural aspect of nicotine addiction share nicotine substance addiction
75 remain unclear.

76 Human neuroimaging studies have elucidated the hierarchical brain regions
77 involved in nicotine-associated behaviour, including the prefrontal, insular, and
78 anterior cingulate cortices, and subcortical areas[10-12]. A clinical intervention study
79 showed that transient inactivation of the left dorsolateral prefrontal cortex using

transcranial magnetic stimulation eliminates smoking craving behaviour[11].

However, the detailed neurobiological basis underlying the behavioural aspect of nicotine addiction remains elusive due to the lack of comparable animal studies that allow mechanistic investigation with molecular, cellular, and genetic manipulation.

Several studies have established animal experimental paradigms to explore the behavioural aspect of nicotine addiction, such as the nicotine self-administration operant and conditioned place preference (CPP) paradigms. A growing number of studies have demonstrated that most neural activity and plastic changes in response to nicotine-related behaviour are observed in the reward- and memory-related regions, including the mesolimbic cortex, hippocampus, and amygdala, using physiological, pharmacological, and behavioural experiments[13-16]. However, most animal studies have been limited to the mesolimbic reward system and related brain areas. The behavioural aspect of nicotine addiction involves complicated brain functions, including cognition and execution, as well as reward and memory. Therefore, a brain-wide comparative exploration is necessary to reveal the comprehensive neural and molecular mechanisms of nicotine-associated behaviour.

Opioids and endogenous opioid systems are known to be involved in diverse addictive disorders, including behavioural addiction. Both synthetic and endogenous opioids have been demonstrated to interact with the mesolimbic dopaminergic system through their specific receptors, mostly via the μ -opioid receptor (MOR), to produce euphoria, leading to substance abuse[17-19]. Functional cross-interactions between opioids and nicotine have long been implicated in nicotine dependence due to the

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mechanistic overlap between opioids and nicotine in the mesolimbic dopaminergic system. Pharmacological studies have shown that endogenous μ -opioid signals modulate nicotine induced dopamine increase in the nucleus accumbens (NAc), indicating its critical role in nicotine substance addiction[20]. Clinical studies have demonstrated that endogenous opioid systems are involved in nicotine-associated seeking behaviours. For instance, both intravenous naloxone administration and oral naltrexone administration have been reported to decrease smoking-seeking desire[21-23]. Despite accumulating clinical evidence, the underlying neurobiological basis of whether and how the endogenous opioid system modulates the behavioural aspect of nicotine addiction remains elusive.

To address this issue, we successfully established nicotine-associated behavioural preference in rats using the CPP paradigm and found that behavioural preference significantly increased after 3 days of conditioning with nicotine injection. In this animal model, we performed a brain-wide investigation to identify the primary regional brain activity in response to nicotine-associated behavioural preferences using a small-animal neuroimaging approach combining 2-deoxy-2-[^{18}F]fluoro-D-glucose (FDG) positron emission tomography (PET) imaging with voxel-based statistical parametric mapping analysis which allows for the analysis of rodent brain activity under conscious conditions [24-27]. Our brain-wide neuroimaging analysis revealed that regional brain activity was significantly increased in the medial prefrontal cortex (mPFC) and correlated with nicotine-associated behavioural preference. To further examine whether and how the endogenous opioid signal

modulates such behavioural preference, we developed genetically engineered rats expressing Cre recombinase under the control of the MOR 1 (Oprm1) promoter[28], in which the neuronal activity of MOR⁺ neurons can be specifically manipulated by optogenetic or chemogenetic methods. Our chemogenetic experiments clearly showed that MOR⁺ neurons in the mPFC modulate the nicotine-associated behavioural preference via the excitatory projection into the Shell of NAc (NAcShell). Finally, our whole-cell patch-clamp recording clearly demonstrated that MOR⁺ neurons in the mPFC directly inhibit excitatory outflow of the mPFC to the NAcShell via GABA_A receptor. Here we provide novel insight into the neurobiological basis of the behavioural aspect of nicotine addiction and demonstrate that MOR⁺ neurons in the mPFC modulate the formation of behavioural aspect of nicotine addiction through control of excitatory input into NAcShell that may contribute to alternative treatment for nicotine addiction.

Methods

All the experimental protocols were approved by the Institutional Animal Care and Use Committee of RIKEN (Kobe Branch, Japan). Animal experiments were performed according to the Principles of Laboratory Animal Care (NIH Publication No. 85-23, revised 2011). Behavioural studies were performed according to the Animal Research Reporting of In Vivo Experiments (ARRIVE) guidelines[29]. All efforts were made to minimise animal suffering and use.

Animal

All animals were housed at $22 \pm 1^{\circ}\text{C}$ temperature, with $45 \pm 15\%$ humidity and under a 12-hour light and 12-hour dark cycle. The rats were fed a standard pellet diet (Oriental Yeast Co., Tokyo, Japan) and provided with tap water ad libitum. Male 8 weeks old Wistar rats (SLC, Hamamatsu, Shizuoka, Japan) were used. To chemogenetically manipulate MOR⁺ neurons activity *in vivo*, we introduced male Long-Evans wild-type rats and male Long-Evans transgenic rats (MOR-Cre) which were described previously in detail[28].

Conditioned Place Preference (CPP)

The CPP was conducted using a three-compartment place-conditioning apparatus (ENV-013; Med Associates Inc., USA) with a distinct and tactile context. The black chamber had grid-rod floor, whereas the white chamber had a mesh floor (Length: 30.48 cm; Width: 21 cm; Height: 21 cm). The animal position and locomotor activity were automatically detected with infrared photobeams within the apparatus. This procedure was modified from previous study[30, 31]. The CPP behavioural experiment was divided into four phases: habituation, baseline, conditioning, and testing.

During the habituation phase (days 1-2), the rats freely explored each chamber for 20 min. Habituation was conducted every half day, once in the morning, and once in the afternoon.

The CPP baseline was tested on the morning of day 3, during which the rats

freely explored all the chambers with the partition gates open for 20 min. The chamber in which the rats spent the most time was defined as the preferred chamber; otherwise, it was defined as the non-preferred chamber. The CPP score of the pre-test was defined as the time spent in the non-preferred chamber divided by the total time spent in the two chambers[32]. After the CPP baseline test, the rats were randomly divided into each experimental group.

The conditioning phase was conducted from days 4 to 6. The rats received two conditioning sessions per day with at least 4 hours interval, one with a subcutaneous injection of saline in the morning and the other with a subcutaneous injection of nicotine in the afternoon. Nicotine hydrogen tartrate (Sigma-Aldrich, MA, USA, Cat #SML1236) was dissolved in saline. Different concentrations (0.3 mg/kg, 0.8 mg/kg, 1.2 mg/kg, 1.5 mg/kg, s.c.) were used. Following saline or nicotine administration, the animals were confined to the non-preferred (paired with nicotine) or preferred (paired with saline) chamber, respectively, with the partition gate closed for 20 min.

The CPP Test phase was conducted on day 7. The rats explored the three compartments freely for 20 min in a drug-free state. The CPP score was defined as the time spent in a nicotine-paired chamber (non-preferred chamber) divided by the total time spent in the two chambers[32]. The Δ CPP score is defined as the CPP score of the CPP test phase minus that of the pre-test phase. Rats were excluded based on predefined exclusion criteria (spending >80% of their time in one chamber)[33].

In addition to the spend time in the chambers, the number of entries and

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locomotor activity were automatically detected. One entry is defined as the breaking of any beam beyond the first entry into a zone. An activity was defined as any beam breaking within the current zone. The devices were wiped with clean water after each rat was removed from the device and dried using a cloth.

For chemogenetic manipulation, designer receptors exclusively activated by the designer drug (DREADD) agonist CNO (Enzo Life Sciences, Tokyo, JPN, Cat #BML-NS105-0025) were intraperitoneally (1 mg/kg, i.p.) injected 1 h before the nicotine conditioning phase or test phase.

PET scanning

All PET scans were conducted using a microPET Focus220 (Siemens Co., Ltd., Knoxville, TN, USA) with a spatial resolution of 1.4 mm in full width at half maximum (FWHM) at the centre of the field of view, as previously reported[25, 34]. First, at least 1 h before ¹⁸F-FDG injection, the rats underwent tail vein cannulation under 1.5% isoflurane anaesthesia. The catheter was fixed to the tail using a tape. anaesthesia

For PET scanning of the CPP baseline and test, the rats received an intravenous injection of ¹⁸F-FDG (ca. 70–75 MBq/0.4 mL) and were immediately placed in the CPP device for 20 min. Subsequently, the rats were returned to their respective cages. Forty-five min after ¹⁸F-FDG intravenous injection, the rats were anaesthetized with 1.5% isoflurane and placed in the gantry of a PET scanner. During the scan, using a heating blanket to maintain body temperature at about 37°C, and a thermocouple

probe was inserted into the rectum to monitor body temperature. A 30 min emission scan was performed 55 min after ^{18}F -FDG injection. The acquired emission data were collected in the three-dimensional-list mode and sorted into a single sinogram. The data were reconstructed using a standard two-dimensional filtered back projection (FBP) algorithm for quantitative evaluation or a statistical maximum a posteriori probability algorithm (MAP) for image co-registration.

Image analysis

Voxel-based statistical analysis was performed according to previous reports[25, 34]. Briefly, using the PMOD software package (version 3.6, PMOD Technologies, Ltd., Zurich, Switzerland), individual MAP-reconstructed FDG images were co-registered to an FDG image template using a mutual information algorithm with Powell's convergence optimization method. The FDG templates were transformed into the space of the magnetic resonance imaging (MRI) reference template. The MRI reference template was placed in the Paxinos and Watson stereotactic spaces. Transformation parameters were obtained from individual MAP-reconstructed FDG images. These transformation parameters were applied to each FBP-reconstructed FDG image. To be consistent with the default parameter settings in the SPM software (Wellcome Department of Imaging Neuroscience, London, UK), the voxel size of the template was scaled by a factor of 10. The final voxel size was resampled at $1.2 \times 1.2 \times 1.2$ mm. Finally, to enhance statistical power, each FBP image was spatially smoothed using an isotropic Gaussian kernel (6-mm FWHM).

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Voxel-based statistical analysis was performed using the SPM8 software (Wellcome Department of Imaging Neuroscience, London, UK). Global differences in FDG uptake were normalised to whole-brain uptake. A two-sample t-test was used to estimate the statistical differences between groups. The statistical threshold was set at $p < 0.005$ (uncorrected), with an extent threshold of 200 contiguous voxels.

Stereotaxic surgery

For intracranial injection, glass micropipettes (Drummond Scientific, PA, USA) pulled using a puller (PP-83; Narishige Group, Tokyo, JPN) were used. We delivered AAV at a rate of 60 nL/min using an ultra-micropump (UMP3; World Precision Instruments, FL, USA) under 1.5% isoflurane anaesthesia. The pipette was left for an additional 3 min after injection, to allow diffusion of the tracer or virus at the injection site and then slowly withdrawn, and then the skin incision was sutured. We injected penicillin (Meiji Seika, Tokyo, Japan) 200 μ L to prevent from postoperative infection after surgery. Three weeks after surgery, the rats were used for experiments.

All AAV vectors are listed in supplementary Table S2. To specific manipulation of the mPFC-NAcShell neural circuit, we firstly injected mixture of AAVrg-hSyn-eGFP (85 nL) and AAVrg-pgk-Cre (215 nL) into the bilateral NAcShell of 8 weeks old Wistar rats at stereotaxic coordinates from bregma: +2.16 mm anteroposterior (AP), \pm 1.0 mm mediolateral (ML), -7.2 mm dorsoventral (DV). One week later, 400 nL of AAV-hSyn-DIO-hM4D-mCherry were bilaterally injected into the mPFC (+3 mm AP, \pm 0.65 mm ML, 3.6 mm DV). For specific activation of MOR⁺ neurons in the

mPFC, 400 nL of AAV-hSyn-DIO-hM3D-mCherry were bilaterally injected into layer 5 of the mPFC (+3 mm and 3.24 mm AP, ± 0.65 mm ML, 3.6 mm DV) of 7 weeks old MOR-Cre rats.

For the whole-cell patch-clamp recording, 300 nL of AAVrg-hSyn-eGFP were bilaterally injected into the NAcShell (+2.16 mm AP, ± 1.0 mm ML, -7.2 mm DV) of 5 weeks old MOR-Cre rats with 400 nL AAV-EF1a-Flex-hChR2(H134R)-mCherry were bilateral injected into layer 5 of the mPFC (+3 mm and 3.24 mm AP, ± 0.65 mm ML, 3.6 mm DV) in the next day after the NAcShell injection.

Immunohistochemistry

The rats were transcranially perfused with PBS under deep isoflurane anaesthesia, followed by 4% paraformaldehyde (PFA; Nacalai, Osaka, Japan) in PBS. Then the brain was removed and soaked in 4% PFA to postfix overnight at 4°C. The brain tissues were then transferred to 30% sucrose for 2 days. Serial brain sections were cut at 30 μ m thickness using a cryostat (Cryostar NX70, Epredia Holdings Ltd., NH, USA) and collected in dishes containing PBS with 0.05% Sodium Azide (FUJIFILM Wako Pure Chemical Corporation, Osaka, Japan). To confirm the AAV injection, brain sections were mounted onto coated glass slides and directly observed without immunostaining.

Fluorescent images were acquired using a Zeiss LSM 710 confocal microscope (Carl Zeiss, Oberkochen, Germany) and analysed using ZEN software (Carl Zeiss).

Brain slice preparation

After AAV injection, the MOR-Cre rats were deeply anaesthetized with isoflurane and decapitated. In brief, whole brain was rapidly dissected out and coronal brain slices (400 μ m), containing mPFC and NAcShell, were made with a vibratome (VT1200, Leica). Slices were submerged in a normal Krebs solution that contained (in mM): 117 NaCl, 3.5 KCl, 2.5 CaCl₂, 1.2 MgCl₂, 1.2 NaH₂PO₄, 25 NaHCO₃, 11 glucoses, and osmolarity of 324 mOsm. The Krebs solution was saturated with 95% O₂ and 5% CO₂ and had a pH of 7.35 at room temperature of 24°C. The brain slice was then fixed in a recording chamber with a slice anchor. The chamber was mounted on the stage of an Olympus IX50 microscope (Olympus, Tokyo, Japan) which was equipped with infrared differential interference contrast (IR-DIC) and fluorescent imaging systems.

Whole-cell patch-clamp recording

eGFP⁺ or mCherry⁺ neurons were first identified under epifluorescence illumination in the mPFC, located 3.0 mm to 2.52 mm. Under the IR-DIC microscope, whole-cell patch-clamp recordings were performed on the mPFC neurons labelled with fluorescent proteins. The electrode resistance after filling recording electrode internal solution ranged from 3 to 5 M Ω . For the voltage-clamp experiment, recording pipettes were filled with a solution containing (in mM): 135 CsMeSO₃, 5 CsCl, 0.5 CaCl₂, 2.4 MgCl₂, 5 EGTA, 10 HEPES, 5 Na₂ATP, 0.33 GTP-TRIS salt and 2 QX-314; the pH of the solution was adjusted to 7.35 with CsOH. In a current-clamp

experiment, 135 K-gluconate, 5 KCl, 0.5 CaCl₂, 2.4 MgCl₂, 5 EGTA, 10 HEPES, 5 Na₂ATP, 0.33 GTP-TRIS salt were used; the pH of the solution was adjusted to 7.35 with KOH. After establishing the whole-cell configuration, the cells were held at -60 mV and GABA_A-mediated inhibitory currents were measured at +10 mV. ChR2-expressing MOR⁺ neurons were activated by 470 nm LED light (Thorlabs, Newton, USA). Blue light was applied through an objective (×40, water immersion objective), and the light intensity was controlled using a light controller unit (Thorlabs, Newton, USA). Signals from voltage-clamp experiments were amplified using an Axopatch 200 B amplifier, filtered at 2 kHz, and sampled at 10 kHz using pCLAMP 10 software (Molecular Devices, Sunnyvale, CA, USA).

Statistical analysis

All data were calculated using the Graphpad Prism version 9 (Graphpad Software San Diego, CA, USA) and shown as mean ± standard error of the mean (S.E.M). Two-way ANOVA followed by Sidak's multiple comparison test and paired t-test were used. Pearson's test was used for correlation analysis. The significance threshold was placed at * $p < 0.05$, ** $p < 0.01$, and *** $p < 0.001$.

Results

Nicotine-associated behavioural preference established by the CPP paradigm

The CPP behavioural paradigm has been used extensively to assess behavioural preferences. Thus, we used it to evaluate nicotine-associated behavioural preferences

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(Fig. 1A). During habituation, the rats gradually acclimated to the CPP device, and their side preference became stable. The pre-conditioning baseline side preference was assessed after four habituation sessions, and no significant difference was observed between the experimental groups (Fig. 1B). To examine the dose-dependent response to nicotine, rats were conditioned with 0, 0.3, 0.8, or 1.5 mg/kg nicotine for 3 days (Fig. 1B) ($n = 6$ in each group). The side preference was significantly affected by the concentration factor ($F_{(3,20)} = 6.572, p = 0.0029$), and increased in the rats conditioned with 0.8 mg/kg ($p = 0.0101$) and 1.5 mg/kg ($p = 0.0403$) nicotine. Interestingly, the side preference at a higher dose (1.5 mg/kg) slightly decreased, as previously reported[35]. Given the higher CPP score during CPP test phase, we chose 0.8 mg/kg for the next experiments.

To investigate the nicotine conditioning-induced behavioural characteristics, we further analysed the spend time, the number of entries, and locomotor activity in each chamber before (pre-test) and after (test) nicotine conditioning. In the vehicle group ($n = 6$), the spend time (phase factor: $F_{(1,10)} = 0.4032, p = 0.5397$), number of entries (phase factor: $F_{(1,5)} = 0.0001, p = 0.9920$), and locomotor activity (phase factor: $F_{(1,5)} = 0.1134, p = 0.7499$) in non-preferred chamber were not changed (spend time: $p = 0.5561$, number of entries: $p = 0.6742$, locomotor activity: $p = 0.9990$) by the conditioning procedure when compared pre-test and test phase and the balance of these behavioural properties between preferred and non-preferred chambers remained almost unchanged, except for the number of entries (treatment factor: $F_{(1,5)} = 4.089, p = 0.0991; p = 0.0396$ when compared preferred chamber and non-preferred chamber

during pre-test phase, $p = 0.8354$ during test phase) (Fig. 1c-e). In contrast, the spend time (phase \times treatment factor: $F_{(1, 10)} = 23.28$, $p = 0.0007$) and locomotor activity (phase factor: $F_{(1, 5)} = 17.63$, $p = 0.0085$) were significantly increased (spend time: $p = 0.0014$, locomotor activity: $p = 0.0054$) in the nicotine-paired chamber after conditioning with 0.8 mg/kg nicotine (Fig. 1F, H) ($n = 6$). Meanwhile, the number of entries in both chambers (phase factor: $F_{(1, 5)} = 19.27$, $p = 0.0071$) was significantly increased (preferred chamber: $p = 0.014$, non-preferred chamber: $p = 0.0105$) after conditioning with 0.8 mg/kg nicotine (Fig. 1G), indicating an increased exploratory activity in these rats. These results indicated that both behavioural preferences and exploratory activity were induced by nicotine conditioning.

Finally, we analysed the locomotor activity during the conditioning phase. In the vehicle group, the locomotor activity after vehicle injection (day \times treatment factor: $F_{(2, 20)} = 0.6152$, $p = 0.5504$) showed decreased tendency during conditioning phase, probably due to the adaptive effect on the chambers (Fig. 1I). Whereas the locomotor activity after nicotine injection (day \times treatment factor: $F_{(2, 20)} = 11.30$, $p = 0.0005$) significantly increased in day 5 ($p = 0.0013$) and day 6 ($p = 0.0049$) as compared with day 4 in the nicotine 0.8 mg/kg group (Fig. 1J). This result is consistent with the evidence that nicotine exposure induces hyper-locomotor activities[36, 37].

Activation of the mPFC by nicotine-associated behavioural preference

To examine brain-wide regional brain activity in response to nicotine-associated behavioural preference, we conducted an FDG-PET scan and subsequent voxel-based

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statistical analyses (Fig. 2A). Regional brain activity in response to nicotine-associated behavioural preference was assessed by comparing the brain-wide FDG uptake in the nicotine-conditioned ($n = 14$, 0.8 mg/kg) group with that in the saline-conditioned ($n = 7$) group. The nicotine-conditioned group showed significantly higher CPP scores during the test phase (Fig. 2B) (phase factor: $F_{(1, 19)} = 19.77$, $p = 0.0003$; $p < 0.0001$ when compare pre-test and test). Voxel-based statistical analysis revealed characteristic activation in several brain regions in response to nicotine-associated behavioural preferences, including the mPFC, dorsal medial striatum (DMS), lateral preoptic area (LPO), lateral septal nucleus (LS), dorsal hippocampus (dHP), and posterior cingulate cortex (PCC) (Fig. 2C). To further assess the functional role of these activated regions in nicotine-associated behavioural preference, we analysed the correlation between regional FDG uptake in these regions and the changes of behavioural preference (Δ CPP Score) (Fig. 2D-J). Interestingly, only FDG uptake in the mPFC was positively correlated with the Δ CPP Score (Fig. 2D) ($p = 0.0431$), indicating that the mPFC may contribute to nicotine-associated behavioural preference.

μ -opioid receptors in the mPFC modulate nicotine-associated behavioural preference

We then focused on the MOR in the mPFC since clinical studies have reported endogenous μ -opioid signals modulate nicotine associated craving behaviour[38]. Accordingly, we also confirmed that nicotine-associated behavioural preference in the

CPP test was significantly inhibited by the subcutaneous injection of naloxone (1 mg/kg), an MOR antagonist, 10 min before the nicotine conditioning or test phase (Fig. S2A, B). To further reveal whether and how endogenous μ -opioid signals in the mPFC are involved in nicotine-associated behavioural preference, we chemogenetically manipulated the MOR⁺ neurons in the mPFC using genetically engineered rats expressing Cre recombinase under the control of the MOR promoter (MOR-Cre rats)[25]. In these MOR-Cre rats, we injected adeno-associated virus (AAV) (AAV-hSyn-DIO-hM3D-mCherry or AAV-hSyn-DIO-mCherry) into bilateral layer 5 of the mPFC, which is the major excitatory outflow region of the mPFC (hM3D group or control group, respectively) (Fig. 3B). Consistent with our previous report[28], immunofluorescence images showed that mCherry-positive neurons were scattered around layer 5 of the mPFC and in layers 2/3, indicating Cre-dependent recombination of these transgene in MOR-Cre rats (Fig. 3B).

To examine the functional role of MOR⁺ neurons in the mPFC on nicotine-associated behavioural preference, we first confirmed the dose-dependent response of nicotine for the CPP test in Long-Evans rats, which is the genetic background strain of MOR-Cre rats and found that 1.5 mg/kg nicotine was more appropriate to induce nicotine-associated behavioural preference ($n = 5$, $p = 0.0104$) in Long-Evans strain rats (phase \times concentration factor, $F_{(2, 12)} = 4.155$ $p = 0.0425$) (Fig. 3A). Then, we chemogenetically manipulated MOR⁺ neurons in the mPFC during the nicotine conditioning phase for consecutive 3 days (Fig. 3C) to explore the involvement of MOR⁺ neurons in the formation of nicotine-associated behavioural preference. As

shown in Figure 3D, the nicotine-associated side preference (phase \times treatment factor: $F_{(1, 13)} = 8.243, p = 0.0131$) was significantly increased in the control (mCherry, green bar) group ($n = 5, p = 0.0435$), in which clozapine N-oxide (CNO, 1 mg/kg) was intraperitoneally injected 1 h before each nicotine injection for 3 consecutive days. In contrast, the increment of nicotine-associated side preference in the rats expressing hM3D (red bar) was completely analogously abolished by CNO treatment ($n = 10, p = 0.3885$), indicating the chemogenetic activation of MOR⁺ neurons in the mPFC suppressed nicotine-associated behavioural preference (Fig. 3D). Similarly, the increment in the spend time (phase factor: $F_{(1, 18)} = 2.061, p = 0.1683$), the number of entries (phase factor: $F_{(1, 9)} = 2.795, p = 0.1289$), and locomotor activity (phase factor: $F_{(1, 9)} = 3.093, p = 0.1125$) in nicotine-paired chamber (non-preferred chamber) during CPP test phase were attenuated by chemogenetic activation of MOR⁺ neurons in the mPFC during the conditioning phase (Fig. 3E-G). (spend time: $p = 0.1011$, number of entries: $p = 0.0092$, locomotor activity: $p = 0.7893$). Whereas the increased locomotor activity due to the direct pharmacological effect of nicotine was not changed after chemogenetic activation of MOR⁺ neurons in the mPFC during the nicotine conditioning phase (Fig. 3H) (day \times treatment factor: $F_{(2, 36)} = 10.24, p = 0.0003$; $p = 0.0074$ when comparing day 6 with day 4). These results suggested that chemogenetic activation of MOR⁺ neurons in the mPFC during the conditioning phase suppressed the formation of nicotine-associated behavioural preference and exploratory activity, but not the nicotine pharmacological effect itself.

Subsequently, we confirmed whether chemogenetic activation of MOR⁺ neurons in the mPFC during the test phase modulates established nicotine-associated behavioural preference or not (Fig. 3I). As shown in Figure 3J, the nicotine-associated side preference (phase factor: $F_{(1, 10)} = 5.239$, $p = 0.0451$) was significantly increased in the control (mCherry, green bar) group ($n = 6$, $p = 0.0348$) but not in the hM3D (red bar) group ($n = 6$, $p = 0.9100$). Accordingly, the increment in the spend time (phase factor: $F_{(1, 10)} = 2.986$, $p = 0.1147$), the number of entries (phase factor: $F_{(1, 5)} = 4.307$, $p = 0.0926$), and locomotor activity (phase factor: $F_{(1, 5)} = 23.6$, $p = 0.0046$) in nicotine-paired chamber (non-preferred chamber) during CPP test phase were inhibited by chemogenetic activation of MOR⁺ neurons during CPP test phase (Fig. 3K-M) (spend time: $p = 0.3509$, number of entries: $p = 0.2270$, locomotor activity: $p = 0.8348$). Similar to Figure 3H nicotine pharmacological effect-evoked hyperactivity (day \times treatment factor: $F_{(2, 20)} = 14.77$, $p = 0.0001$) was not affected by chemogenetic manipulation (Fig. 3N). ($p = 0.0208$ when compared day 6 and 4).

Chemogenetic activation of MOR⁺ neurons in the mPFC either during formation or after the establishment of nicotine conditioning suppressed the behavioural aspect of nicotine addiction without affecting the direct pharmacological effect of nicotine.

The mPFC to NAcShell circuit is indispensable for nicotine-associated behavioural preference

Next, we examined the terminal field of the mPFC excitatory outflow to modulate the behavioural aspect of nicotine addiction. Because the NAcShell is known to receive

abundant excitatory projections from the infralimbic cortex of the mPFC[39] and plays a critical role in rewarding processes and nicotine self-administration behaviour[40], we focused on the mPFC-NAcShell circuit to investigate whether and how the circuit modulates nicotine-associated behavioural preference. To specifically manipulate the mPFC-NAcShell circuit, we concomitantly injected a mixture of retrograde AAV (AAVrg)-hSyn-eGFP and AAVrg-pgk-Cre into the bilateral NAcShell, and AAV-hSyn-DIO-hM4D-mCherry or AAV-hSyn-DIO-mCherry (hM4D group or control group, respectively) into bilateral layer 5 of the mPFC in wild-type Wistar rats (Fig. 4A). Thus, immunofluorescence images showed that mCherry was specifically expressed in the eGFP⁺ layer 5 projection neurones in the mPFC that send monosynaptic projection into the NAcShell. The eGFP was also seen in the injection area of the NAcShell (Fig. 4B).

To investigate the functional role of the mPFC-NAcShell circuit in nicotine-associated behavioural preferences, we first specifically suppressed circuit activity by chemogenetic manipulation during the conditioning phase. Consistently, the nicotine-associated side preference (phase \times treatment factor: $F_{(1,9)} = 13.30, p = 0.0053$) was significantly increased in the control (mCherry, green bar) group (Fig. 4D) ($n = 6, p = 0.0473$) following consecutive CNO injection (i.p., 1mg/kg, 1 h before each nicotine injection) for 3 days (Fig. 4C). However, the increase in the nicotine-associated side preference in the hM4D (red bar) group was completely reduced by CNO treatment (Fig. 4D) ($n = 5, p = 0.0708$). Likewise, the increments in the spend time (phase factor: $F_{(1,8)} = 0.02341, p = 0.8822$), the number of entries (phase factor: $F_{(1,4)} =$

12.41, $p = 0.0244$), and locomotor activity (phase factor: $F_{(1,4)} = 0.7407$, $p = 0.4380$) in the nicotine-paired chamber (non-preferred chamber) of the CPP test were attenuated by the chemogenetic inhibition of the mPFC-NAcShell circuit during the conditioning phase (Fig. 4E-G) (spend time: $p = 0.3440$, number of entries: $p = 0.0346$, locomotor activity: $p = 0.2907$). However, such specific manipulation of the mPFC-NAcShell circuit activity during nicotine conditioning phase did not affect the increased locomotor activity (day factor: $F_{(1,8)} = 10.11$, $p = 0.0130$) due to the direct pharmacological effect of nicotine (Fig. 4H) ($p = 0.0454$ when compared day 6 and day 4). These results indicate that the mPFC-NAcShell circuit takes part in the formation of nicotine-associated behavioural preferences, but not in the pharmacological effect of nicotine.

Subsequently, we investigated whether chemogenetic inhibition of the mPFC-NAcShell circuit during the CPP test phase disturbed nicotine-associated behavioural preferences (Fig. 4I). As shown in Figure 4J, the nicotine-associated side preference (phase factor: $F_{(1,8)} = 7.279$, $p = 0.0272$) was significantly increased in the control (mCherry, green bar) group ($n = 5$, $p = 0.0073$) but not in the hM4D (red bar) group ($n = 5$, $p = 0.9670$). Consistently, the chemogenetic inhibition of the circuit during the CPP test phase attenuated the increase in the spend time (phase factor: $F_{(1,8)} = 0.6177$, $p = 0.4545$), the number of entries (phase factor: $F_{(1,4)} = 4.728$, $p = 0.0953$), and locomotor activity (phase factor: $F_{(1,4)} = 7.912$, $p = 0.0482$) in the nicotine-paired chamber (non-preferred chamber) during the CPP test phase (Fig. 4K-M) (spend time: $p = 0.9868$, number of entries: $p = 0.9429$, and locomotor activity: $p = 0.9769$).

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Moreover, the nicotine pharmacological effect-evoked hyperactivity (day \times treatment factor: $F_{(2, 16)} = 27.81, p < 0.0001$) was not affected by the specific inhibition of the mPFC-NAcShell circuit (Fig. 4N) ($p = 0.0079$ when comparing day 5 and day 4; $p = 0.0005$ when comparing day 6 with day 4).

Overall, chemogenetic suppression of the mPFC-NAcShell circuit inhibited the formation and retention of the behavioural aspect of nicotine addiction, independent of the direct pharmacological effect of nicotine.

MOR⁺ neurons in the mPFC inhibit excitatory projection to the NAcShell

MOR is dominantly expressed in GABAergic interneurons in layer 5 of the mPFC and regulates the excitatory outflow of the mPFC[41, 42]. Thus, we finally investigated whether and how MOR⁺ neurons in the mPFC directly regulate the activity of the mPFC-NAcShell circuit that was confirmed to be involved in nicotine-associated behavioural preference by using whole-cell patch-clamp recording in PFC brain slices. We concomitantly injected AAVrg-hSyn-eGFP into the NAcShell, and AAV-EF1a-Flex-hChR2(H134R)-mCherry into the mPFC of MOR-Cre rats (Fig. 5A). Two weeks after injection, mCherry-labelled MOR⁺ neurons and eGFP-labelled pyramidal neurons were found in layer 5 of the mPFC (Fig. 5B). To confirm whether ChR2-expressing MOR neurons directly respond to light stimulation, we performed current-clamp recording on mCherry⁺ neurons and applied blue light on the mPFC region (300 ms, 1 Hz). Light stimuli evoked action potential successfully, and it was completely reproduced on MOR⁺ neurons (Fig. 5C). We then performed voltage-

clamp recording on eGFP⁺ neurons which project into the NAcShell. Blue light stimuli evoked the transient inhibitory postsynaptic current (IPSCs) at the holding of +10 mV, and these were completely blocked by 100 μ M bicuculline, a GABA_A receptor antagonist (Fig. 5D-F). These data indicate that MOR⁺ neurons in the mPFC inhibit NAcShell-projecting pyramidal neurons via GABA_A receptors.

Discussion

The present study demonstrates the critical role of MOR⁺ neurons in mPFC for the first time in regulating behavioural aspect of nicotine addiction through disinhibiting the mPFC-NAcShell circuit. Here we provide a line of evidence that 1) the nicotine-associated behavioural preference was increased after nicotine conditioning, 2) brain-wide voxel-based statistical analysis revealed that the regional brain activity was significantly increased in the mPFC and correlated with nicotine-associated behavioural preference, 3) either the chemogenetic activation of MOR⁺ neurons in the mPFC or suppression of the mPFC-NAcShell circuit abolished the formation and retention of the nicotine-associated behavioural preference, and 4) the MOR⁺ neurons in the mPFC directly inhibited layer 5 pyramidal neurons that project into the NAcShell through GABA_A receptors. Intensive efforts have been made over the past decades to investigate nicotine substance dependence which is mainly induced by the pharmacological effects of nicotine. Nicotine acts on nAChRs, which are abundantly expressed in the posterior ventral tegmental area (VTA) dopaminergic neurons and their presynaptic terminals in the NAc, to facilitate dopamine release in the NAc,

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542 which induces reward and reinforces nicotine-sustained intake[43]. Likewise, since
543 the homomeric $\alpha 7$ nAChRs expressed in the presynaptic terminal of excitatory
544 glutamatergic input to the VTA dopaminergic neurones, the increased excitatory
545 glutamatergic input from prefrontal cortical area to the VTA dopaminergic neurons
546 have been implicated as a critical step in initiating nicotine substance dependence. In
547 contrast, nicotine addiction is reinforced by smoking-related cues without the direct
548 pharmacological effect of nicotine. Smoking-related cues, such as conditioned habits
549 or specific scenes, have been considered a major reason for failure of cessation and
550 high rates of relapse[44, 45]. In the present study, we successfully established
551 nicotine associated behavioural preference using the CPP paradigm and demonstrated
552 that specific manipulation of MOR⁺ neurons in the mPFC or the mPFC-NAcShell
553 circuit modulates the nicotine associated behavioural preference, independent of the
554 direct pharmacological effect of nicotine. These results suggest that endogenous μ -
555 opioid signals in the mPFC modulate excitatory outflow to the NAcShell, resulting in
556 the formation and retention of the behavioural aspect of nicotine addiction.

557 The mPFC is a key structure that plays a critical role in emotion, cognitive and
558 executive functions [46] and is known to be involved in behaviour dependence such
559 as gambling and binge disorders. Subjects with gambling disorders show increased
560 prefrontal cortex activity, as measured by functional magnetic resonance imaging[47].
561 Patients with binge eating disorders also show activation of the ventral medial
562 prefrontal cortex as measured by functional near-infrared spectroscopy[48].
563 Consistently, our small-animal neuroimaging analysis revealed that regional brain

activity in the mPFC was significantly increased in response to nicotine-associated behavioural preference and was positively correlated with nicotine-associated behavioural preference (Fig. 2C). MORs are expressed in the mPFC and modulate the outflow of the mPFC which may contribute to addictive disorders by altering cognitive and executive functions[49]. MORs are predominantly expressed in inhibitory interneurons in layer 5 of the mPFC and directly modulate projection pyramidal neurons via monosynaptic GABA_A receptors[41, 50]. Consistently, we found that specific activation of the MOR⁺ neurons in the mPFC inhibited nicotine-associated behavioural preference (Fig. 3D, J). Our whole-cell patch-clamp experiment also clearly demonstrated that the MOR⁺ neurons in the mPFC directly inhibited the pyramidal neurons in layer 5 that projecting into the NAcShell (Fig. 5D, E). Together with previous reports that endogenous opioids increased in the mPFC region in association with addictive disorders[51, 52], the present results suggested the crucial role of MOR⁺ neurons in the mPFC modulating the excitatory outflow from the mPFC for the behavioural aspect of nicotine addiction.

We also found that excitatory outflow from the mPFC facilitated NAcShell activity, which may reinforce nicotine-associated behavioural preferences. The NAc receives dense projections from the mesolimbic dopaminergic system, such as the VTA, and is well known to be a key structure in the brain involved in reward processing and reinforcement[53, 54]. Several studies have demonstrated that the NAc plays a crucial role in substance dependence through the pharmacological effects of addictive substances, such as cocaine and heroin, which are known to facilitate

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dopamine transmission in the NAc[55, 56]. Recently, functional implications of the NAc have also been suggested in behavioural addiction without any direct stimulation from addictive substances, such as gambling disorders. In individuals with gambling disorders, the release of dopamine in the NAc reaches its maximum when performing a gambling task[57]. Hyper-neural activation of the NAc has also been observed by Fos expression in the early stages of binge eating in a rat model[58]. These observations suggest that the NAc may also interact with higher cognitive and executive functions, which are essential for the development and maintenance of behavioural addiction. The NAc receives intensive projections from the frontal cortex, including the mPFC[59] and interacts closely with these regions. Electrical stimulation of the mPFC results in phasic dopamine release in the NAc region[60]. The interaction between the mPFC and NAc is thought to be an underlying mechanism of some behavioural addictions. In the binge eating disorder model, neural firing rates in the mPFC and NAc significantly increased before and during palatable food consumption[61]. In patients with pathological gambling, the right ventral striatum shows increased functional connectivity with the mPFC[62]. Consistently, we found that chemogenetic inhibition of the mPFC-NAcShell circuit, either during or after nicotine conditioning, suppressed nicotine-associated behavioural preference without affecting nicotine-induced hyper-locomotor activity (Fig. 4D, J). These observations indicate that enhanced excitatory transmission from the mPFC to the NAcShell, modulated by endogenous opioid signals in the mPFC, may facilitate the reinforcement of nicotine-associated behavioural preference.

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4 608 Interestingly, we found that the increased locomotor activity during nicotine
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9 610 neurons in the mPFC or the mPFC-NAcShell circuit (Fig. 3H, Fig. 4H). Nicotine
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11 611 administration induces hyper-locomotor activity in rats[63]. The increase in
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13 612 locomotor activity is potentially partly through the nAChRs located in the VTA[64].
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15 613 Consistent with this, we found that locomotor activity significantly increased in the
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17 614 control group during the conditioning phase immediately after nicotine injection (Fig.
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19 615 3H, N, Fig. 4H, N). Although, chemogenetic manipulation of either MOR⁺ neurons in
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21 616 the mPFC or the mPFC-NAcShell successfully inhibits nicotine-associated
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23 617 behavioural preference, the nicotine induced hyper locomotor activity was not
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25 618 affected by such specific manipulation. These results suggest the regulatory role of
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27 619 MOR⁺ neurons in the mPFC and its excitatory outflow to the NAcShell may be
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29 620 independent from the direct pharmacological effect of nicotine. Our brain-wide
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31 621 analysis also revealed that the regional brain activity in response to nicotine-
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33 622 associated behavioural preferences was completely different from the
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35 623 pharmacological effect of nicotine. As shown in Supplemental Figure 1, strong
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37 624 activation was observed in widespread thalamic regions, posterior cingulate cortex,
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39 625 VTA, and dorsal raphe, which mostly corresponded to nAChR distribution in the
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41 626 brain after nicotine injection. In contrast, regional brain activity related to the
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43 627 nicotine-associated behavioural preference was increased in the mPFC, dorsal medial
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45 628 striatum, and dorsal hippocampus and was mostly related to cognition, association,
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47 629 and spatial learning. These results further support our hypothesis that endogenous
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opiod signals in the mPFC enhance the excitatory innervation of the NAcShell to drive the reinforcement of nicotine-associated behavioural preferences independent of the pharmacological effect of nicotine.

Our study demonstrated the activation pattern of the behavioural aspect of nicotine addiction. However, we did not conduct an experiment on nicotine substance dependence, such as the two-bottle choice. Chronic oral nicotine exposure usually lasts for several months and includes nicotine intake, preference, withdrawal, and escalation of nicotine consumption[65]. Our CPP paradigm only comprised triple nicotine injections but still successfully induced preference change. Therefore, we assumed that the existence of environmental cues could accelerate the process of nicotine behavioural dependence, in which the MORs and the mPFC-NAcShell circuits participate. Even though we demonstrated that MOR⁺ neurons inhibit the mPFC-NAcShell circuit through GABA_A receptors, there is still a limitation in our study that may exist several other receptors also mediate the inhibitory neurotransmission [66], such GABA_B receptors and glycine receptors. More detailed investigations are needed to confirm whether and how these receptors are involved in nicotine-associated behavioural preference in the future.

In conclusion, our study demonstrated for the first time that MOR⁺ neurons in the mPFC modulate excitatory outflow to the NAcShell to regulate the formation and retention of behavioural aspect of nicotine addiction (Fig. 6). Most current intervention clinical trials have shown limited effects on smoking cessation and are mainly based on the pharmacological effects of nicotine. The main reasons for the

failure of smoking cessation are attributed to smoking-conditioned habits and environmental cues which lead to nicotine reinforcement and relapse. In the present study, we demonstrated the novel molecular and neuronal mechanisms underlying the behavioural aspect of nicotine addiction which provide new insights for aiding smoking cessation.

Data availability

The data supporting the findings of this study are available from the corresponding author upon reasonable request.

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Author Contributions

Y.C. and Y.D. conceived the study. F.Z. and Y.C. designed the experiments. H.N. and Y.P.W. maintained the mouse colonies. F.Z. and Y.P.W. conducted stereotactic surgery. F.Z. conducted behavioural experiments. H.K. performed the patch-clamp recording experiments. F.Z., H.N., D.H., and S.Q.D. performed pet imaging with. K.K. and S.K. prepared AAV vectors. F.Z. and H.K. analysed the data. K.N. and Y.W. data analysis and interpretation. F.Z., Y.C. and H.K. wrote the manuscript. All authors

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discussed the results and commented on the manuscript.

Competing Interests

The authors declare no competing interests.

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Table. 1 Activated brain activity in response to nicotine-associated behavioural preference

Brain regions	Laterality	T value (peak)	Volume (mm ³)
Medial Prefrontal Cortex, mPFC	R	3.93	3.34
Dorsal medium straitum, DMS	R	4.54	1.62
lateral preoptic area, LPO	R	4.07	0.36
lateral septal nucleus, LS	R	3.89	1.46
Dorsal Hippocampus, dHP	L&R	4.42	7.99
Posterior Cingulate Cortex, PCC	L&R	4.03	1.69

Nicotine group (n = 14) versus vehicle group (n = 7), Heigh threshold: T = 2.86 with an extent threshold of 200 contiguous voxels, $p < 0.005$ uncorrected. L and R indicate left and right hemispheres, respectively.

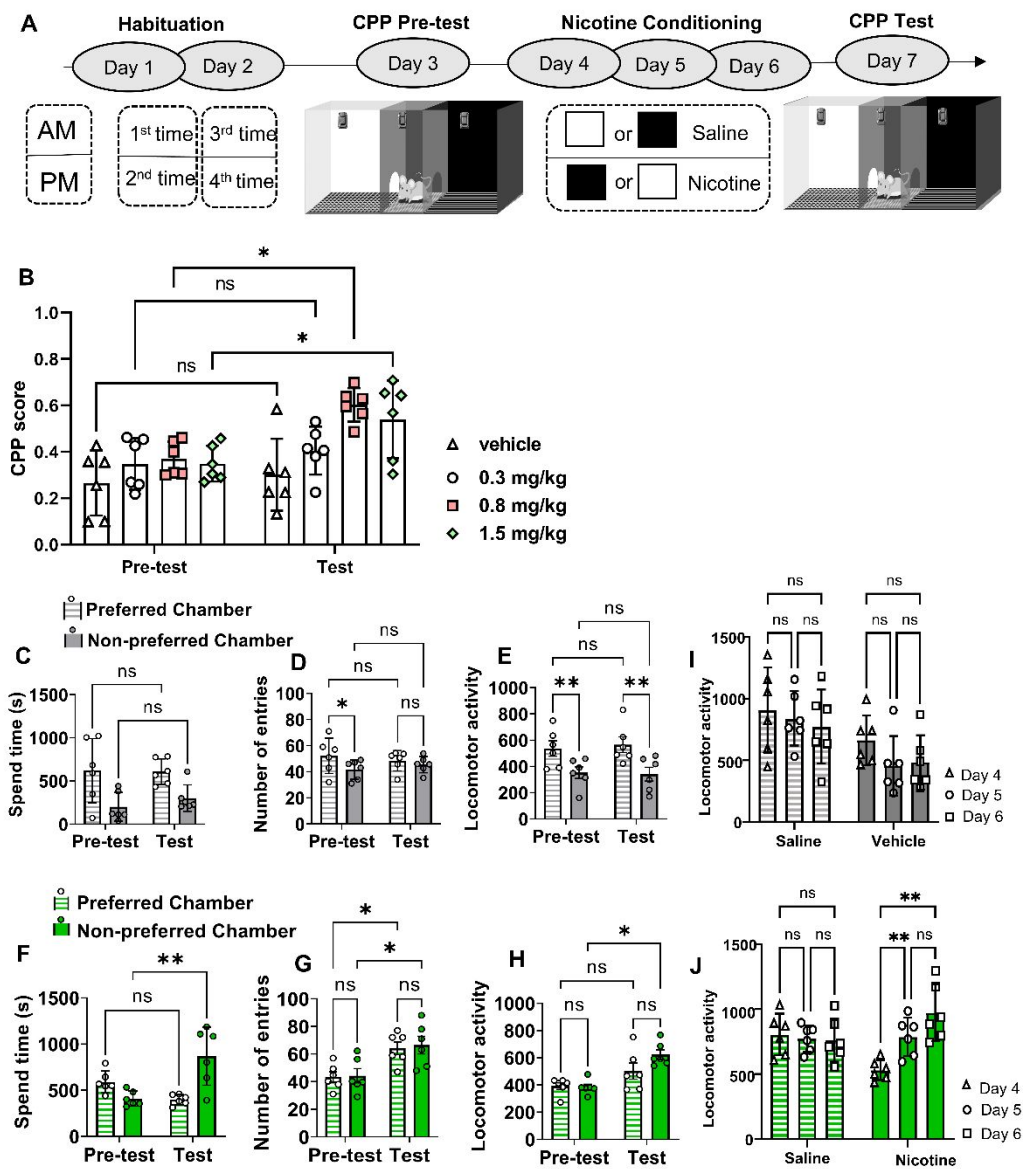


Fig. 1 Nicotine-associated behavioural preference evaluated by CPP paradigm. **A** Experimental design of CPP. **B** CPP score in saline ($n = 6$), nicotine 0.3 mg/kg ($n = 6$), nicotine 0.8 mg/kg ($n = 6$) and nicotine 1.5 mg/kg ($n = 6$) groups. **C-E** Spend time (**C**), the number of entries (**D**), and locomotor activity (**E**) in preferred chamber and non-preferred chamber during pre-test and test phases of the saline group. **F-H** Spend time (**F**), the number of entries (**G**), and locomotor activity (**H**) in preferred chamber and non-preferred chamber during pre-test and test phases of the nicotine (0.8 mg/kg) group.

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6 884 conditioning phase in vehicle group (**I**) and nicotine 0.8mg/kg group (**J**). Two-way
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9 885 ANOVA with Sidak's multiple comparisons test (mean \pm SEMs, $*p < 0.05$, $**p < 0.01$).
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12 886 ns: not significant. **ANOVA**: analysis of variance; **CPP**: conditioned place preference.
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14 887 **SEM**: standard error of the mean.
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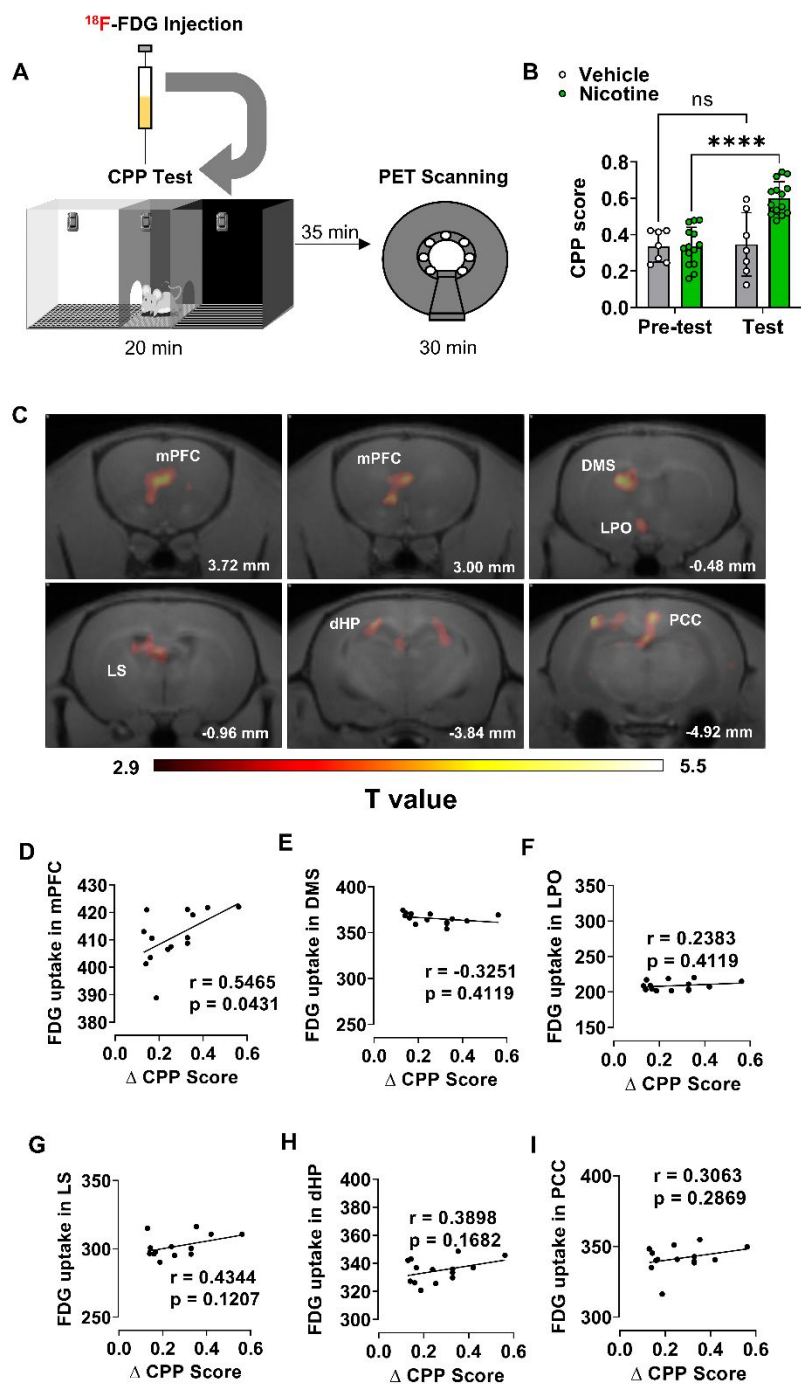
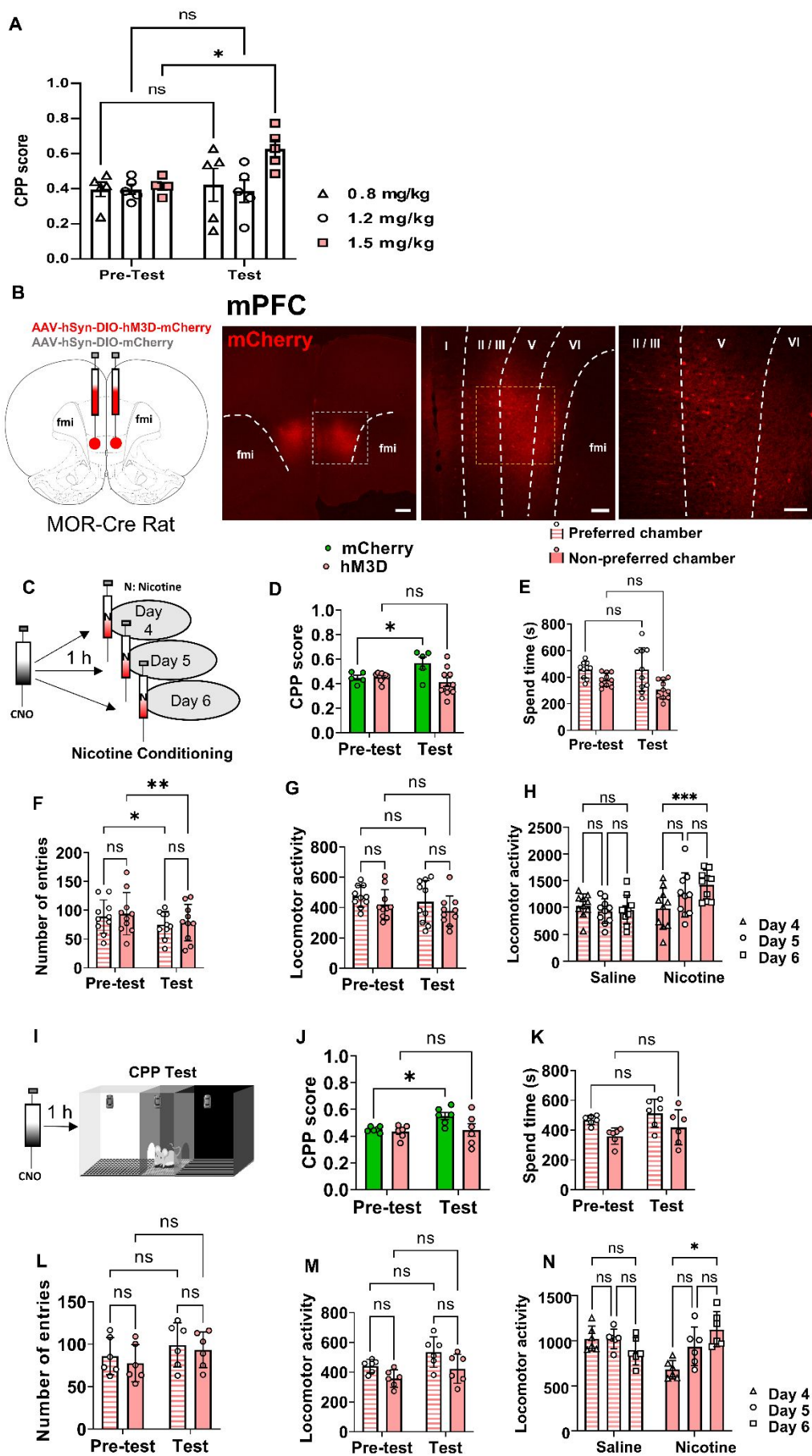


Fig. 2 Brain activity in response to nicotine-associated behavioural preference. **A** Experimental design of PET scanning. **B** CPP score of rats undergoing PET scanning ($n = 7$ in vehicle group, $n = 20$ in nicotine group), two-way ANOVA with Sidak's multiple comparisons test (mean \pm SEMs, **** $p < 0.0001$). **C** Brain activity in

response to nicotine-associated behavioural preference. Images showing activated (red) brain regions during CPP test phase ($n = 20$). Images were obtained by voxel-based statistical comparison of FDG uptake with that of CPP baseline phase. A T value of 2.86 was used as the threshold corresponding to $p = 0.005$ (uncorrected threshold). Right side of the image indicates the left hemisphere. The number in the lower line indicates the level of coronal slices in the rat brain atlas (Paxinos and Watson). **D-I** Correlation between Δ CPP score and FDG uptake in mPFC ($p = 0.0431$) (**D**), DMS ($p = 0.4119$) (**E**), LPO ($p = 0.4119$) (**F**), LS ($p = 0.1207$) (**G**), dHP ($p = 0.1682$) (**H**), and PCC ($p = 0.2869$) (**I**). Person correlation analysis test. **ANOVA**: analysis of variance; **CPP**: conditioned place preference; **dHP**: dorsal hippocampus; **DMS**: dorsomedial striatum; **FDG**: 2-deoxy-2-[^{18}F] fluoro-D-glucose; **LPO**: lateral preoptic area; **LS**: lateral septal nucleus; **mPFC**: medial prefrontal cortex. **PCC**: posterior cingulate cortex; **PET**: positron emission tomography; **SEM**: standard error of the mean.



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Fig. 3 μ -opioid receptors in mPFC moderate nicotine-associated behavioural preference. **A** CPP score in nicotine 0.8 mg/kg ($n = 5$), 1.2 mg/kg ($n = 5$) and 1.5 mg/kg groups ($n = 5$) of Long-Evans wild-type male rats. **B** Left: schematic diagram of injection of the virus (AAV-hSyn-DIO-hM3D-mCherry or AAV-hSyn-DIO-mCherry) in the mPFC of MOR-Cre rats. Right: representative images of mCherry (red) expression in mPFC neurons, scale bar: 500 μ m. The imaging is enlarged by scale bars of 200 μ m and 100 μ m, respectively. **C** Schematic diagram of the CNO injection 1h before CPP conditioning phase on three consecutive days (days 4, 5, and 6). **D** CPP score in mCherry and hM3D-mCherry group with CNO injection 1h before CPP conditioning phase. **E-G** Spend time (**E**), the number of entries (**F**), and locomotor activity (**G**) in preferred chamber and non-preferred chamber during pre-test and test phases of hM3D group. **H** Locomotor activity post saline and post nicotine injection during CPP conditioning phase of hM3D group. **i** Schematic diagram of the CNO injection 1h before CPP test phase. **J** CPP score in mCherry and hM3D groups with CNO injection 1h before CPP test phase. **K-N** Spend time (**K**), the number of entries (**I**) and locomotor activity (**M**) in preferred chamber and non-preferred chamber during pre-test and test phases of hM3D group. **N** locomotor activity post saline and post nicotine injection during CPP conditioning phase of hM3D group. Two-way ANOVA with Sidak's multiple comparisons test (mean \pm SEMs. $**p < 0.01$, $*p < 0.05$). ns: not significant. **ANOVA**: analysis of variance; **CNO**: clozapine N-oxide, **CPP**: conditioned place preference; **MOR**: μ -opioid receptor; **mPFC**: medial prefrontal cortex. **SEM**: standard error of the mean.

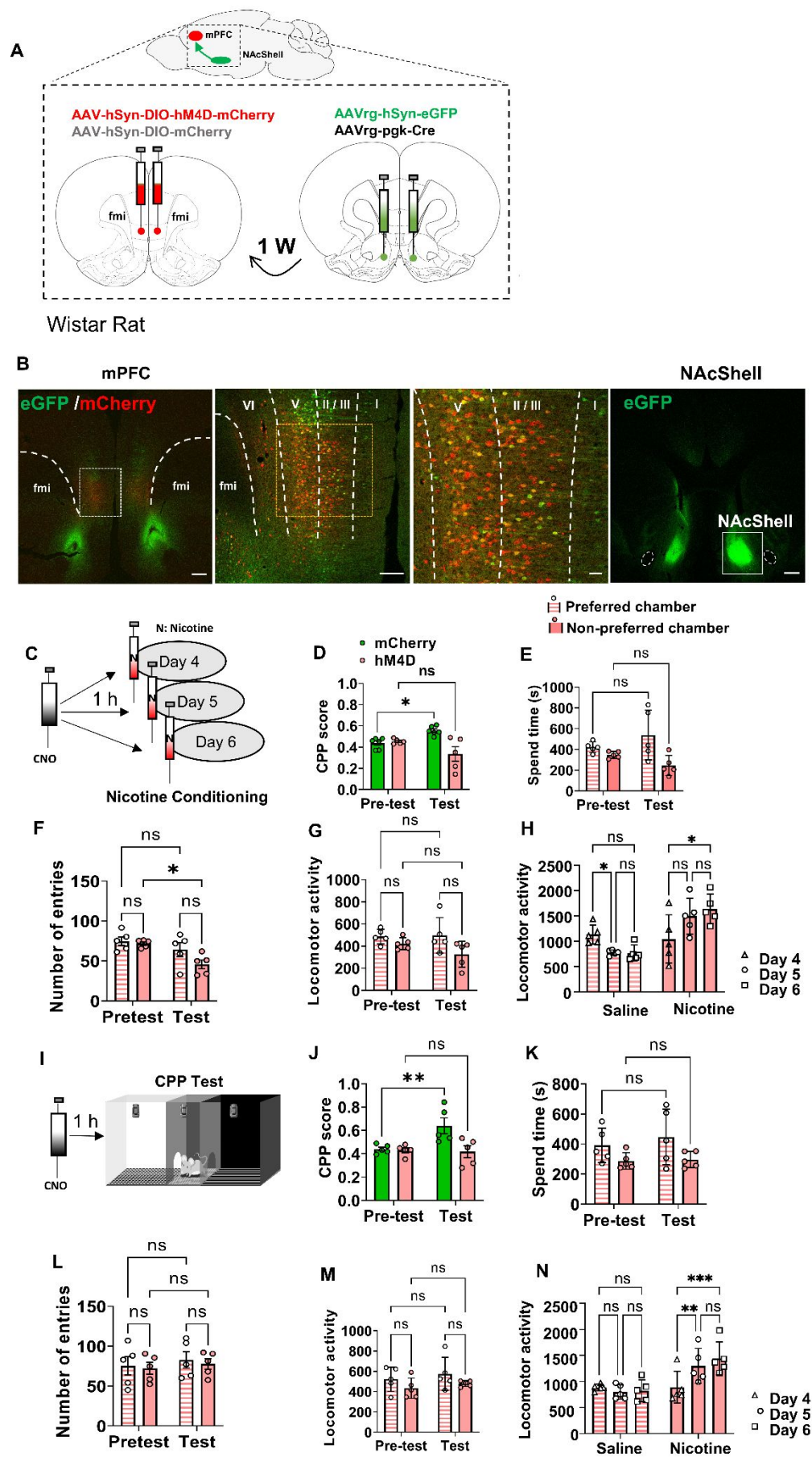


Fig. 4 mPFC–NAcShell circuit takes part in nicotine associated behavioural preference.

A Schematic diagram of the injection of virus (mixture of AAVrg-pgk-Cre and AAVrg-hSyn-eGFP) into the NAcShell and injection of virus (AAV-hSyn-DIO-hM4D-mCherry or AAV-hSyn-DIO-mCherry) into the mPFC of the Wistar wild-type rat after one week. **B** Representative images of double expression (yellow) of eGFP (green) and mCherry (red) in the mPFC regions, scale bar: 500 μ m. The imaging is enlarged by scale bars of 200 μ m and 50 μ m respectively. The expression of eGFP in NAcShell is also seen (green), scale bar: 500 μ m. **C** Schematic diagram of the CNO injection 1h before CPP conditioning phase on three consecutive days (days 4, 5, and 6). **D** CPP score in mCherry and hM4D-mCherry group with CNO injection 1h before CPP conditioning phase. **E-G** Spend time (**E**), the number of entries (**F**) and locomotor activity (**G**) in preferred chamber and non-preferred chamber during pre-test and test phases of hM4D group. **H** Locomotor activity post saline and post nicotine injection during CPP conditioning phase of hM4D group. **I** Schematic diagram of the CNO injection 1h before CPP test phase. **J** CPP score in mCherry and hM4D group with CNO injection 1h before CPP test phase. **K-M** Spend time(**K**), the number of entries (**I**) and locomotor activity (**M**) in preferred chamber and non-preferred chamber during pre-test and test phases of hM4D group. **N** locomotor activity post saline and post nicotine injection during CPP conditioning phase of hM4D group. Two-way ANOVA with Sidak's multiple comparisons test (mean \pm SEMs. *** p < 0.001, ** p < 0.01, * p < 0.05). ns: not significant. **ANOVA**: analysis of variance; **CNO**: clozapine N-oxide;

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954 **CPP**: conditioned place preference; **eGFP**: enhanced green fluorescent protein;
955 **NAcShell**: shell of nucleus accumbens.
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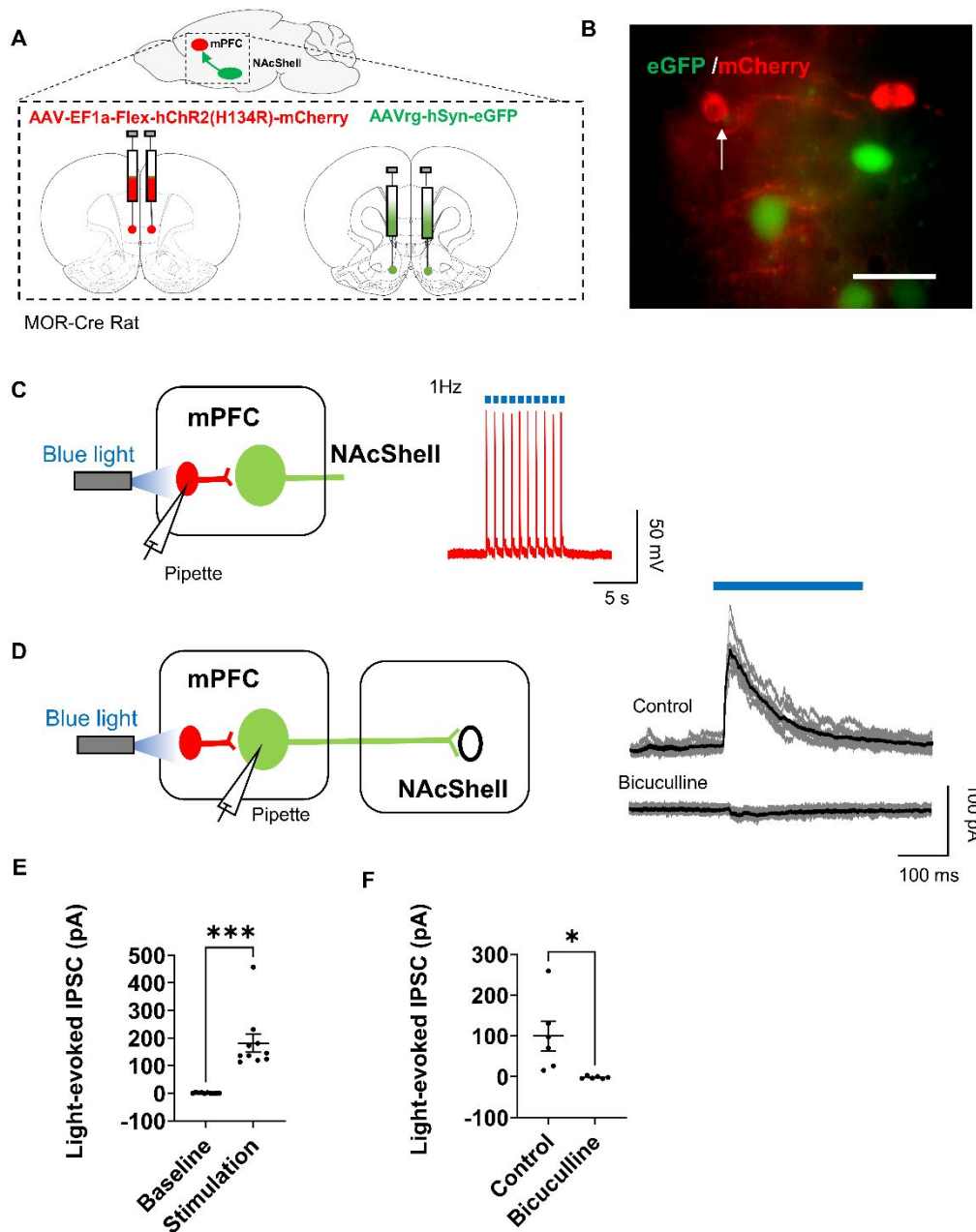


Fig. 5 MOR⁺ neurons in layer 5 inhibit the mPFC-NAcShell circuit. **A** Diagram of the injection of AAVrg-hSyn-eGFP into the NAcShell and AAV-EF1a-Flex-hChR2(H134R)-mCherry into the mPFC of MOR-Cre rat. **B** The expression of eGFP (green) and ChR2-mCherry (red) in the mPFC neurons, scale bar: 50 μ m. **C** Left: diagram of the whole-cell patch recording from MOR⁺ neurons in the mPFC. Right: representative sample trace of action potential evoked by blue light (300 ms, 1 Hz). **D**

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Left: diagram of the whole-cell patch recording from eGFP⁺ pyramidal neurons in the mPFC. Right: two sample traces show light evoked IPSCs in the control (top) and under the presence of 100 μM bicuculline (bottom). **E** The amplitude of light evoked IPSC on eGFP⁺ pyramidal neurons ($n = 10$). **F** The effect of 100 μM bicuculline on amplitude of light-evoked IPSC in the eGFP⁺ pyramidal neurons ($n = 10$). Paired t test (mean ± SEMs. $*p < 0.05$, $***p < 0.001$). **eGFP**: enhanced green fluorescent protein; **GABA**: gamma-amino butyric acid; **IPSC**: inhibitory postsynaptic current; **mPFC**: medial prefrontal cortex; **NAcShell**: shell of nucleus accumbens.

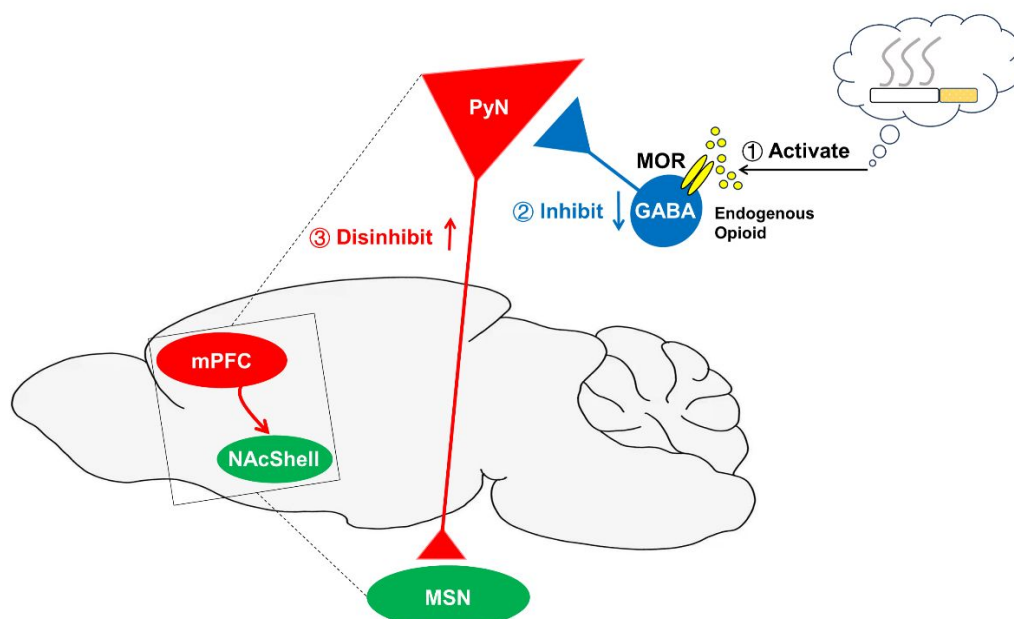


Fig. 6 Schematic displaying that MOR⁺ neurons in the mPFC modulate excitatory outflow to the NAcShell to regulate the formation and retention of behavioural aspect of nicotine addiction. (1) The experience of nicotine-conditioning activates the MORs expressed in GABAergic interneurons in the mPFC region. (2) Activation of MORs inhibits the GABAergic interneurons. (3) Inhibition of MOR⁺ interneurons results in the disinhibition of excitatory outflow from the mPFC to the NAcShell which contributes to the formation and retention of behavioural aspect of nicotine addiction.

GABA: gamma-amino butyric acid; **PyN:** pyramidal neuron; **MOR:** μ -opioid receptor; **mPFC:** medial prefrontal cortex; **MSN:** medium spiny neuron; **NAcShell:** shell of nucleus accumbens.

Reply to Reviewers

(We showed major changes to the revised manuscript in red text)

Reply to Reviewer 2

1. As a final minor suggestion, it would enhance the clarity of the manuscript if the authors could create a graphic framework summarizing their findings.

>>>Response: Thanks for your comment. We have created a graphic framework in **figure 6** and describe in Discussion section (**L649**).

/. End

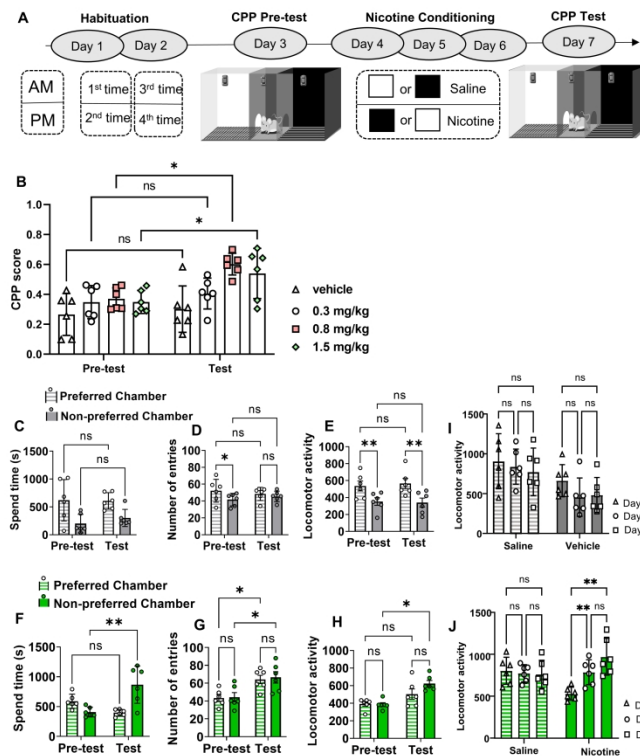


Fig. 1 Nicotine-associated behavioural preference evaluated by CPP paradigm. A Experimental design of CPP. B CPP score in saline ($n = 6$), nicotine 0.3 mg/kg ($n = 6$), nicotine 0.8 mg/kg ($n = 6$) and nicotine 1.5 mg/kg ($n = 6$) groups. C-E Spend time (C), the number of entries (D), and locomotor activity (E) in preferred chamber and non-preferred chamber during pre-test and test phases of the saline group. F-H Spend time (F), the number of entries (G), and locomotor activity (H) in preferred chamber and non-preferred chamber during pre-test and test phases of the nicotine (0.8 mg/kg) group. I, J Locomotor activity post saline and post vehicle or nicotine injection during CPP conditioning phase in vehicle group (I) and nicotine 0.8mg/kg group (J). Two-way ANOVA with Sidak's multiple comparisons test (mean \pm SEMs, * $p < 0.05$, ** $p < 0.01$). ns: not significant. ANOVA: analysis of variance; CPP: conditioned place preference. SEM: standard error of the mean.

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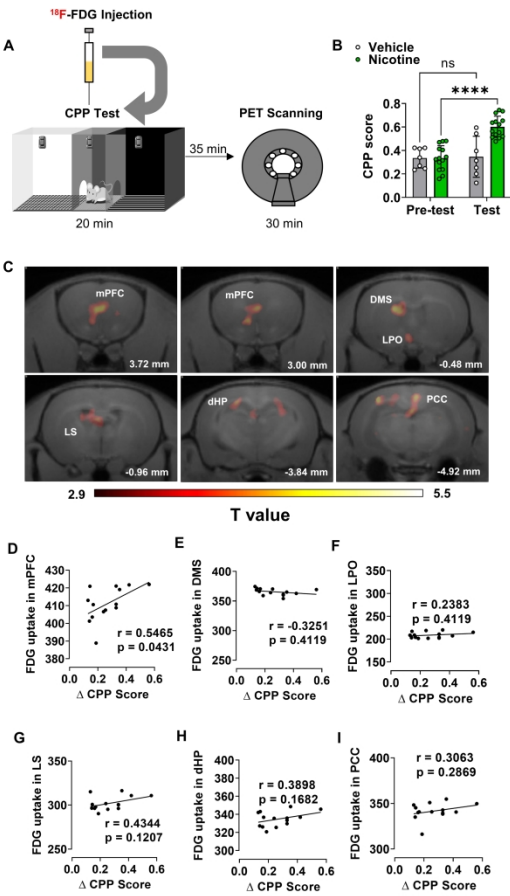


Fig. 2 Brain activity in response to nicotine-associated behavioural preference. A Experimental design of PET scanning. B CPP score of rats undergoing PET scanning ($n = 7$ in vehicle group, $n = 20$ in nicotine group), two-way ANOVA with Sidak's multiple comparisons test (mean \pm SEMs, **** $p < 0.0001$). C Brain activity in response to nicotine-associated behavioural preference. Images showing activated (red) brain regions during CPP test phase ($n = 20$). Images were obtained by voxel-based statistical comparison of FDG uptake with that of CPP baseline phase. A T value of 2.86 was used as the threshold corresponding to $p = 0.005$ (uncorrected threshold). Right side of the image indicates the left hemisphere. The number in the lower line indicates the level of coronal slices in the rat brain atlas (Paxinos and Watson). D-J Correlation between ΔCPP score and FDG uptake in mPFC ($p = 0.0431$) (D), DMS ($p = 0.4119$) (E), LPO ($p = 0.4119$) (F), LS ($p = 0.1207$) (G), dHP ($p = 0.1682$) (H), and PCC ($p = 0.2869$) (I). Person correlation analysis test. ANOVA: analysis of variance; CPP: conditioned place preference; dHP: dorsal hippocampus; DMS: dorsomedial striatum; FDG: 2-deoxy-2-[^{18}F] fluoro-D-glucose; LPO: lateral preoptic area; LS: lateral septal nucleus; mPFC: medial prefrontal cortex. PCC: posterior cingulate cortex; PET: positron emission tomography; SEM: standard error of the mean.

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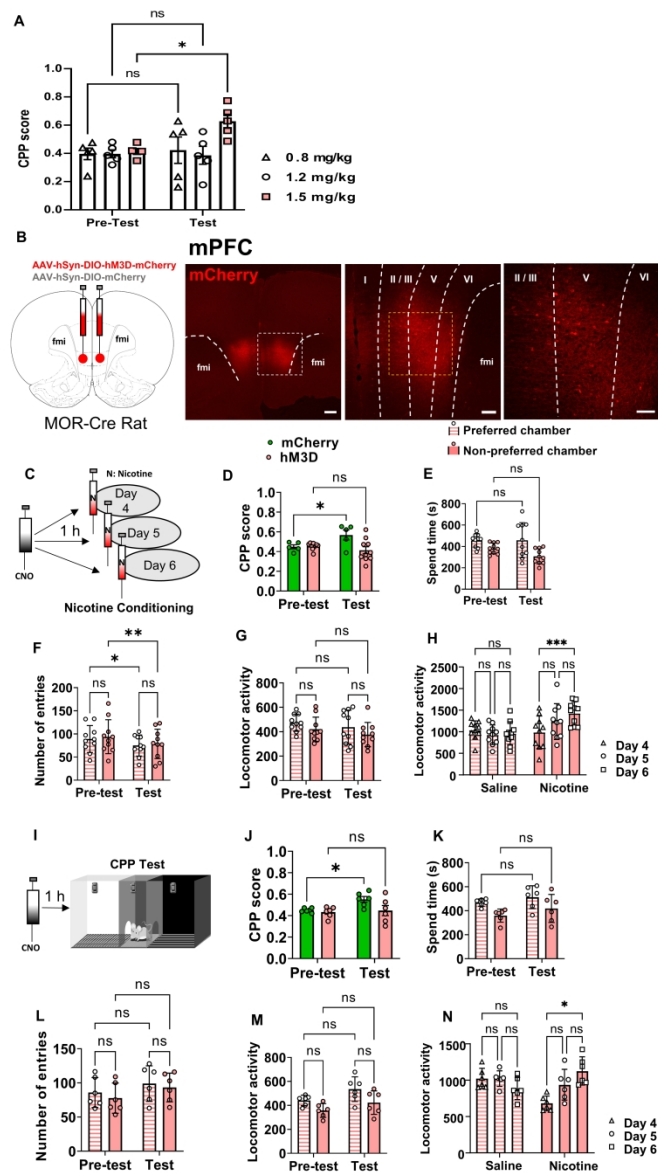


Fig. 3 μ -opioid receptors in mPFC moderate nicotine-associated behavioural preference. A CPP score in nicotine 0.8 mg/kg ($n = 5$), 1.2 mg/kg ($n = 5$) and 1.5 mg/kg groups ($n = 5$) of Long-Evans wild-type male rats. B Left: schematic diagram of injection of the virus (AAV-hSyn-DIO-hM3D-mCherry or AAV-hSyn-DIO-mCherry) in the mPFC of MOR-Cre rats. Right: representative images of mCherry (red) expression in mPFC neurons, scale bar: 500 μ m. The imaging is enlarged by scale bars of 200 μ m and 100 μ m, respectively. C Schematic diagram of the CNO injection 1h before CPP conditioning phase on three consecutive days (days 4, 5, and 6). D CPP score in mCherry and hM3D-mCherry group with CNO injection 1h before CPP conditioning phase. E-G Spend time (E), the number of entries (F), and locomotor activity (G) in preferred chamber and non-preferred chamber during pre-test and test phases of hM3D group. H Locomotor activity post saline and post nicotine injection during CPP conditioning phase of hM3D group. I Schematic diagram of the CNO injection 1h before CPP test phase. J CPP score in mCherry and hM3D groups with CNO injection 1h before CPP test phase. K-N Spend time (K), the number of entries (I) and locomotor activity (M) in preferred chamber and non-preferred chamber during pre-test and test phases of hM3D group. N locomotor activity post saline and post nicotine injection during CPP conditioning phase of hM3D group. Two-way ANOVA with

Sidak's multiple comparisons test (mean \pm SEMs. **p < 0.01, *p < 0.05). ns: not significant. ANOVA: analysis of variance; CNO: clozapine N-oxide, CPP: conditioned place preference; MOR: μ -opioid receptor; mPFC: medial prefrontal cortex. SEM: standard error of the mean.

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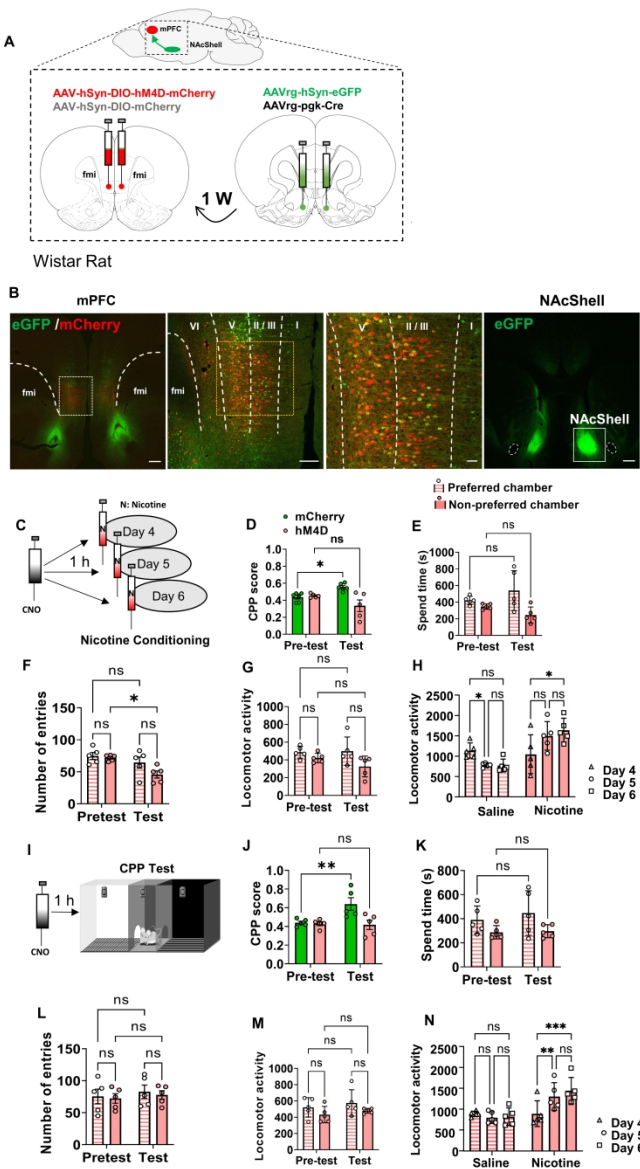


Fig. 4 mPFC–NAcShell circuit takes part in nicotine associated behavioural preference. A Schematic diagram of the injection of virus (mixture of AAVrg-pgk-Cre and AAVrg-hSyn-eGFP) into the NAcShell and injection of virus (AAV-hSyn-DIO-hM4D-mCherry or AAV-hSyn-DIO-mCherry) into the mPFC of the Wistar wild-type rat after one week. B Representative images of double expression (yellow) of eGFP (green) and mCherry (red) in the mPFC regions, scale bar: 500 μ m. The imaging is enlarged by scale bars of 200 μ m and 50 μ m respectively. The expression of eGFP in NAcShell is also seen (green), scale bar: 500 μ m. C Schematic diagram of the CNO injection 1h before CPP conditioning phase on three consecutive days (days 4, 5, and 6). D CPP score in mCherry and hM4D-mCherry group with CNO injection 1h before CPP conditioning phase. E-G Spend time (E), the number of entries (F) and locomotor activity (G) in preferred chamber and non-preferred chamber during pre-test and test phases of hM4D group. H Locomotor activity post saline and post nicotine injection during CPP conditioning phase of hM4D group. I Schematic diagram of the CNO injection 1h before CPP test phase. J CPP score in mCherry and hM4D group with CNO injection 1h before CPP test phase. K-M Spend time(K), the number of entries (I) and locomotor activity (M) in preferred chamber and non-preferred chamber during pre-test and test phases of hM4D group. N locomotor activity post saline and

post nicotine injection during CPP conditioning phase of hM4D group. Two-way ANOVA with Sidak's multiple comparisons test (mean \pm SEMs. *** $p < 0.001$, ** $p < 0.01$, * $p < 0.05$). ns: not significant. ANOVA: analysis of variance; CNO: clozapine N-oxide; CPP: conditioned place preference; eGFP: enhanced green fluorescent protein; NAcShell: shell of nucleus accumbens.

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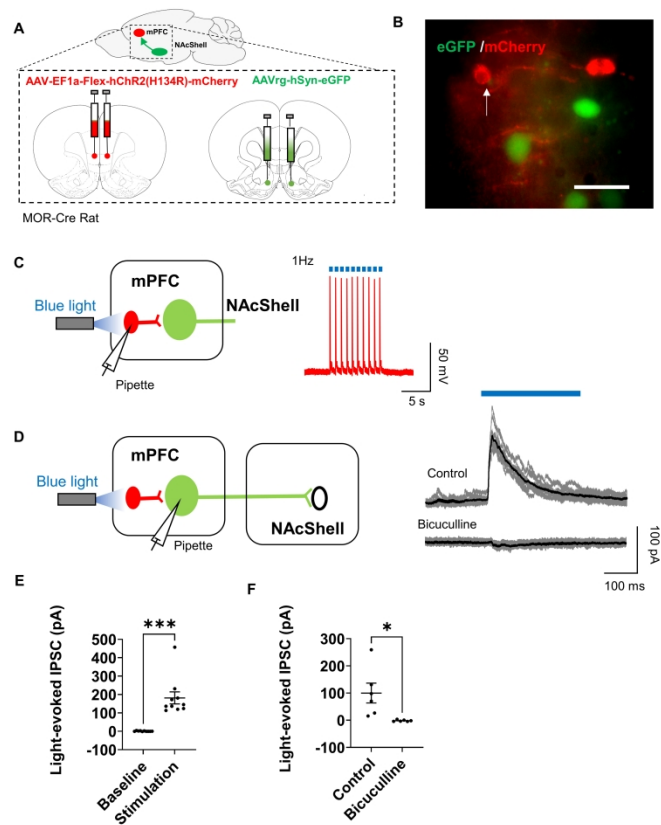


Fig. 5 MOR+ neurons in layer 5 inhibit the mPFC-NacShell circuit. A Diagram of the injection of AAVrg-hSyn-eGFP into the NacShell and AAV-EF1a-Flex-hChR2(H134R)-mCherry into the mPFC of MOR-Cre rat. B The expression of eGFP (green) and ChR2-mCherry (red) in the mPFC neurons, scale bar: 50 μ m. C Left: diagram of the whole-cell patch recording from MOR+ neurons in the mPFC. Right: representative sample trace of action potential evoked by blue light (300 ms, 1 Hz). D Left: diagram of the whole-cell patch recording from eGFP+ pyramidal neurons in the mPFC. Right: two sample traces show light evoked IPSCs in the control (top) and under the presence pf 100 μ M bicuculline (bottom). E The amplitude of light evoked IPSC on eGFP+ pyramidal neurons (n = 10). F The effect of 100 μ M bicuculline on amplitude of light-evoked IPSC in the eGFP+ pyramidal neurons (n = 10). Paired t test (mean \pm SEMs. *p < 0.05, ***p < 0.001). eGFP: enhanced green fluorescent protein; GABA: gamma-amino butyric acid; IPSC: inhibitory postsynaptic current; mPFC: medial prefrontal cortex; NacShell: shell of nucleus accumbens.

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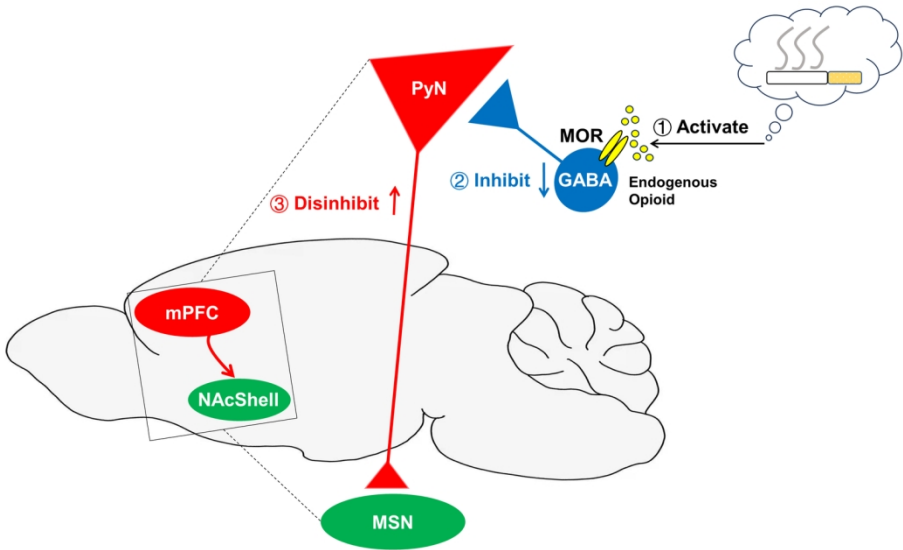


Fig. 6 Schematic displaying that MOR+ neurons in the mPFC modulate excitatory outflow to the NAcShell to regulate the formation and retention of behavioural aspect of nicotine addiction. (1) The experience of nicotine-conditioning activates the MORs expressed in GABAergic interneurons in the mPFC region. (2) Activation of MORs inhibits the GABAergic interneurons. (3) Inhibition of MOR+ interneurons results in the disinhibition of excitatory outflow from the mPFC to the NAcShell which contributes to the formation and retention of behavioural aspect of nicotine addiction. GABA: gamma-amino butyric acid; PyN: pyramidal neuron; MOR: μ -opioid receptor; mPFC: medial prefrontal cortex; MSN: medium spiny neuron; NAcShell: shell of nucleus accumbens.

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Supplementary information

Modulation of nicotine-associated behaviour in rats by μ -opioid signals from the medial prefrontal cortex to the nucleus accumbens shell

Running title: MORs in the mPFC modulate nicotine cravings.

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Shaoqi Duan¹, Koichi Noguchi¹, Yasuyoshi Watanabe⁴, Kazuto Kobayashi⁵, Yi Dai^{1*} &
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This file includes:

Supplementary Table 1

Supplementary Table 2

Supplementary figure 1

Supplementary figure 2

Table. S1 Regional brain activity evoked by nicotine subcutaneous injection

Brain regions	Laterality	T value (peak)	Volume(mm ³)
Nucleus accumbens, NAc	R	3.95	1.463
Thalamus Cluster			179.11
Paraventricular thalamic, PVT	L&R	6.62	
Mediodorsal thalamus, MDT	L&R	6.05	
Posterior cingulate cortex, PCC	L&R	6.72	14.95
Ventral tegmental area, VTA	L&R	4.84	4.73
Dorsal raphe nucleus, DR	L&R	5.35	1.79
Parabrachial nucleus, PB	R	4.91	0.23

Saline group (n=17) versus nicotine group (n =17), Heigh threshold: T=2.74 with an extent threshold of 200 contiguous voxels, p<0.005 uncorrected. L and R indicate left and right hemispheres, respectively.

Table. S2 Resource and Titer of all viruses used in this study

Name	Titer (vg/mL)	Resource	Catalog Number
AAV2-hSyn-DIO-hM3D(Gq)-mCherry	$\geq 6 \times 10^{12}$	Addgene (MA, USA)	#44361
AAV5-hSyn-DIO-hM4D(Gi)-mCherry	$\geq 5 \times 10^{12}$	Addgene (MA, USA)	#44362
AAV2-hSyn-DIO-mCherry	$\geq 4 \times 10^{12}$	Addgene (MA, USA)	#50459
retrograde AAV-pgk-cre	$\geq 7 \times 10^{12}$	Addgene (MA, USA)	#24593
retrograde AAV-hSyn-eGFP	$\geq 7 \times 10^{12}$	Addgene (MA, USA)	#50465
AAV2-EF1a-Flex-hChR2(H134R)-mCherry	7.98×10^{12}	Fukushima Medical Univ. (Dr. Kobayashi Lab)	None

vg: viral genome

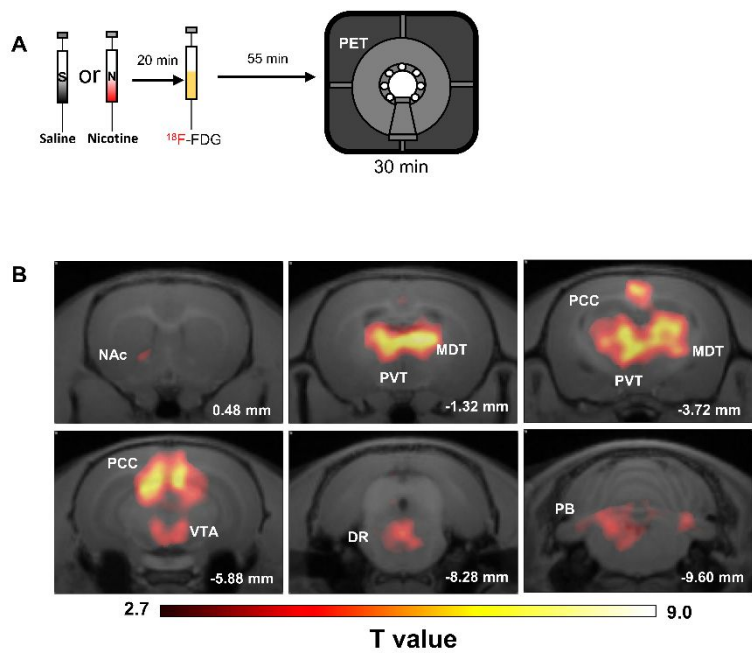


Fig. S1 Brain activity evoked by nicotine subcutaneous injection. **A** Schematic diagram of PET scanning after saline or nicotine injection. Rats received a subcutaneous injection of nicotine (0.8 mg/kg) or saline 20 mins before the intravenous injection of ^{18}F -FDG. **B** Brain activity after saline or nicotine injection. Top: Images showing activated (red) brain regions with one injection in the nicotine group ($n = 17$), which were obtained by voxel-based statistical comparison of FDG uptake with that in the saline group after one injection ($n = 17$); a T value of 2.74 was used as the threshold corresponding to $p = 0.005$ (uncorrected threshold). Right sides of the image indicate the left hemisphere. The number in the lower line indicates the level of coronal slices in the rat brain atlas (Paxinos and Watson). **FDG**: fluorodeoxyglucose; **PET**: positron emission tomography; **DR**: dorsal raphe nucleus; **MDT**: mediodorsal thalamus; **NAc**:

nucleus accumbens; **PCC**: posterior cingulate cortex; **PB**: parabrachial nucleus; **PVT**:
paraventricular thalamus; **VTA**: ventral tegmental area.

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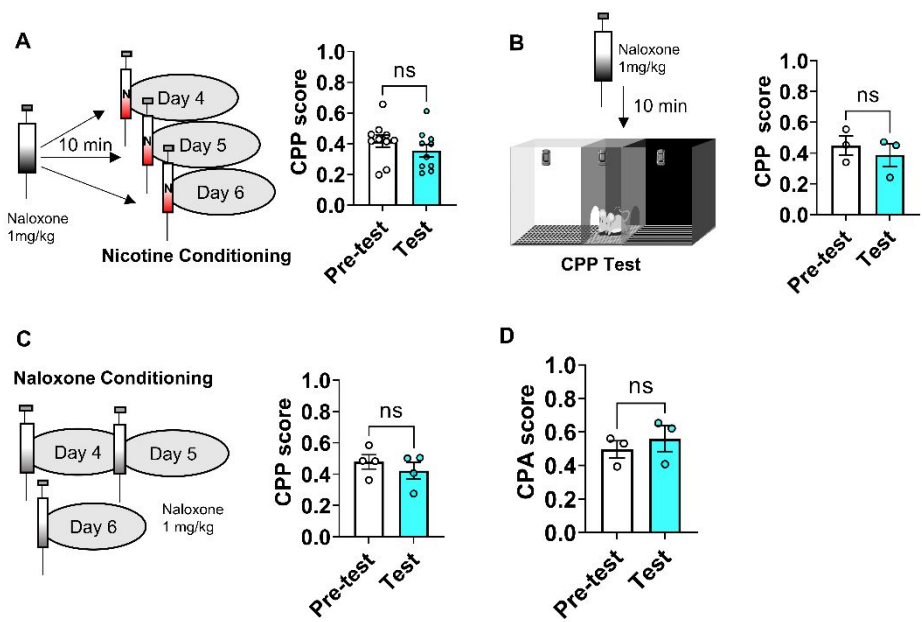


Fig. S2 Naloxone inhibits nicotine-associated behavioural preference. **A** Left: schematic diagram of naloxone treatment 10 mins before nicotine conditioning phase. Naloxone hydrochloride dihydrate (Sigma-Aldrich, MA, USA, Cat #N7758) was dissolved in saline at a concentration of 1 mg/kg. Right: CPP score with naloxone treatment 10 mins before nicotine conditioning phase ($n = 10$). **B** Left: schematic diagram of naloxone treatment 1h before nicotine test phase. Right: CPP score with naloxone treatment 1h before nicotine test phase ($n = 3$). **C** Left: schematic diagram of CPP or CPA. For CPA paradigm, the naloxone was paired with preferred chamber. Right: CPP score with naloxone injection ($n = 4$). **D** CPA score of naloxone injection ($n = 3$). Note that

naloxone (1 mg/kg) itself did not induce CPP or CPA. Paired t test (mean \pm SEMs). ns:
not significant; **CPA**: conditioned place aversion; **CPP**: conditioned place preference.

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