

TITLE PAGE

Title:

Association of Sarcopenia with Basic Activities of Daily Living and Dyspnea-Related Limitations in Patients with Interstitial Lung Disease

Authors: Tomoyo Taketa, MD ^a; Yuki Uchiyama, MD, PhD ^a; Kazuhisa Domen, MD, PhD ^a

Affiliation:

^a Department of Rehabilitation Medicine, School of Medicine, Hyogo Medical University, Nishinomiya, Japan

Correspondence to:

Yuki Uchiyama, MD, PhD.

Department of Rehabilitation Medicine, School of Medicine, Hyogo Medical University

1-1 Mukogawa-cho, Nishinomiya, Hyogo 663-8501, Japan

Tel: +81-0798456111; E-mail: yutti@hyo-med.ac.jp

Running title: Association between sarcopenia and ADL in ILD patients

Word Count: 3579 words

Number of figures, videos and tables: Two figures and three tables

Contributions:

- (I) Conception and design: Tomoyo Taketa
- (II) Administrative support: Yuki Uchiyama, Kazuhisa Domen
- (III) Provision of study materials or patients: Tomoyo Taketa, Yuki Uchiyama
- (IV) Collection and assembly of data: Tomoyo Taketa
- (V) Data analysis and interpretation: Tomoyo Taketa, Kazuhisa Domen
- (VI) Manuscript writing: All authors.
- (VII) Final approval of manuscript: All authors.

1 **Abstract (200~450 words)**

2 **Background:** Sarcopenia is characterized by loss of muscle mass and function and is known to impair
3 activities of daily living (ADL) in community-dwelling elderly people. However, the impact of
4 sarcopenia on ADL in patients with interstitial lung disease (ILD) remains unclear. This study aimed to
5 determine the relationship between sarcopenia and ADL in ILD patients and to identify sarcopenia
6 components that contribute to these impairments.

7 **Methods:** This cross-sectional study included 50 patients (median age 76 years) with stable ILD who
8 were hospitalized at Hyogo Medical University Hospital between June 2022 and February 2024.

9 Sarcopenia was diagnosed using the Asian Working Group for Sarcopenia 2019 criteria. Basic ADL
10 were evaluated using the Barthel Index (BI), and the impact of dyspnea on ADL was assessed using the
11 Barthel Index-Dyspnea (BI-d). Clinical assessments included the 6-min walk test, the Hospital Anxiety
12 and Depression Scale score, and the EuroQol-5 Dimensions-5 Levels tool. Statistical analyses were
13 performed to compare outcomes between patients with and without sarcopenia and to identify factors
14 affecting BI and BI-d scores.

15 **Results:** Sarcopenia was diagnosed in 21 patients (42%). The BI score was significantly lower (85 vs
16 90, $p<0.01$) and the BI-d score was significantly higher (45 vs 10, $p<0.01$) in the sarcopenia group. The
17 sarcopenia group also had a shorter 6-min walk distance (245.0 m vs 318.5 m, $p=0.03$), a higher score
18 for the depression component of the Hospital Anxiety and Depression Scale (6.0 vs 5.0, $p=0.01$), and a
19 lower EuroQol-5 Dimensions-5 Levels score (0.58 vs 0.84, $p<0.01$). Multiple linear regression analyses
20 showed a significant association of sarcopenia with a lower BI score ($\beta=-0.30$, $p=0.0$) and a higher BI-
21 d score ($\beta=0.45$, $p<0.01$). The skeletal muscle mass index was the only component of sarcopenia that

22 had a significant association with the BI score, and gait speed was associated with the BI-d score in
23 both men and women.

24 **Conclusions:** Sarcopenia was significantly associated with greater impairment of basic ADL and
25 limitation of ADL by dyspnea in patients with ILD. Skeletal muscle mass index and gait speed are
26 components of sarcopenia that affect these outcomes. Targeted interventions are needed to improve
27 ADL and quality of life in these patients.

28

29 **Keywords:** Sarcopenia, Barthel Index, Dyspnea, Gait Speed, Skeletal Muscle Mass Index

30

31

32

33

Key findings

Sarcopenia was independently associated with decreased ability to perform activities of daily living (ADL), as measured by the Barthel Index (BI) and BI-Dyspnea (BI-d), in patients with interstitial lung disease (ILD) after adjusting for age, sex, body mass index (BMI), and pulmonary function. Moreover, skeletal muscle mass index was associated with BI, while gait speed was associated with BI-d in both men and women. These findings indicate that muscle mass and functional performance have distinct roles in basic ADL and ADL affected by dyspnea.

What is known and what is new?

Sarcopenia is a known risk factor for reduced physical performance and quality of life in patients with chronic respiratory disease. However, its impact on performance of ADL in patients with ILD has been unclear. This study demonstrates that sarcopenia significantly impairs ADL in patients with ILD. Its findings suggest that the skeletal muscle mass index and gait speed contribute to ADL and dyspnea-related ADL in different ways, highlighting the importance of assessing sarcopenia and its components in clinical practice.

What is the implication, and what should change now?

Screening for sarcopenia and assessing its components should be integrated into the routine management of patients with ILD. This approach may help to identify individuals at risk for ADL impairment and facilitate targeted interventions to improve their quality of life. Future studies should explore intervention strategies that address both preservation of muscle mass and enhancement of functional performance.

35 **1. Introduction**

36 Sarcopenia is a progressive generalized skeletal muscle disorder that manifests as accelerated
37 loss of muscle mass and function (1). Sarcopenia increases the risks of falls, fractures, and mortality
38 and impairs the ability to perform activities of daily living (ADL) in community-dwelling elderly
39 people (1,2,3). It is not only caused by aging, but also occurs in various disease states. In patients with
40 chronic respiratory disease, especially those with chronic obstructive pulmonary disease (COPD),
41 sarcopenia is caused by disuse of skeletal muscle as a result of physical inactivity associated with
42 exertional dyspnea, depletion associated with increased ventilatory work, and decreased exercise
43 capacity (4).

44 Interstitial lung diseases (ILD) constitute a heterogeneous group of pulmonary disorders that
45 are characterized by various degrees of inflammation and/or fibrosis. Decreased lung compliance leads
46 to increased respiratory workload, resulting in exertional dyspnea and reduced exercise tolerance. The
47 clinical course of ILD is variable; however, many cases are progressive, with worsening respiratory
48 function despite optimal treatment (5). Progression of ILD leads to exacerbation of dyspnea and
49 limitations in ADL. In patients with ILD, these disabilities have been reported to be associated with a
50 decrease in health-related quality of life and poorer prognosis (5). In previous studies, approximately
51 32.1% of patients with ILD had sarcopenia (6), which was associated with reduced exercise tolerance
52 and increased mortality rates (7). However, reports on the clinical impact of sarcopenia as a
53 complication of ILD are limited. Although limitations in ADL are a significant concern for many
54 patients with ILD, there are no reports on the association between these outcomes and sarcopenia.

55 Diagnosis of sarcopenia in the Asian population was defined by the Asian Working Group for
56 Sarcopenia (AWGS) consensus in 2019. The AWGS 2019 criteria for diagnosis of sarcopenia include
57 muscle strength, physical function, and skeletal muscle mass, with sarcopenia diagnosed as low
58 skeletal muscle mass combined with either low muscle strength or poor physical function (1). There
59 have been numerous reports on factors related to ADL and predictors of ADL decline among these
60 components of sarcopenia in community-dwelling elderly individuals (8,9,10). However, there have
61 been few similar studies in patients with respiratory disease and none specifically targeting those with
62 ILD. Confirmation of these aspects could lead to more effective rehabilitation approaches for
63 preventing sarcopenia in patients with ILD from an early stage.

64 This study aimed to determine the relationship of sarcopenia with basic ADL and with

65 limitations of ADL by dyspnea in patients with ILD and to identify the components of sarcopenia that
66 contribute to these outcomes. We hypothesized that sarcopenia would be associated with a decline in
67 both basic ADL and ADL limitations due to dyspnea in patients with ILD. Furthermore, we posited that
68 different components of sarcopenia would contribute uniquely to the impairments observed in basic
69 ADL and those specifically related to dyspnea.

70 We present this article in accordance with the STROBE reporting checklist.

71 **2. Methods**

72 **2.1 Study Cohort**

73 Patients with ILD who were hospitalized at the Hyogo Medical University Hospital between
74 June 2022 and February 2024 for chronic disease management purposes, including introduction or
75 adjustment of long-term oxygen therapy, management of comorbidities, or participation in pulmonary
76 rehabilitation, were included in the study. The inclusion criteria were as follows: clinically stable over
77 the previous 4 weeks; age ≥ 65 years; and able to perform the 6-min walk test (6MWT). The diagnostic
78 criteria used for the various clinical types of ILD were consistent with those outlined in the
79 International Consensus Statement (11). The following exclusion criteria were applied: severe
80 cognitive impairment precluding assessment of clinical outcomes; thoracic surgery within the past 6
81 months; comorbidities affecting muscle strength, physical function, gait function, or dyspnea (e.g.,
82 musculoskeletal or neurological disorders, chronic heart failure, COPD or asthma); and a diagnosis of
83 cancer. The ILD patients selected as study subjects were based on data obtained from hospital records.
84 All patients had been diagnosed with ILD before the study period, with the sample size determined
85 accordingly.

86 This cross-sectional retrospective study was approved by the Ethics Committee of Hyogo
87 Medical University (approval no. 4683; approval date April 26, 2024) and conducted following the
88 principles of the Declaration of Helsinki. As the study involved retrospective analysis of clinical data
89 collected during routine medical care, no additional interventions or procedures were performed for
90 research purposes. Written informed consent was obtained from all patients during hospitalization,
91 specifically informing them that their clinical data might be used for research purposes. Additionally, to
92 ensure transparency and respect for patient autonomy, an opt-out method was applied by publishing
93 detailed study-related information on the hospital's official website. This included the study's
94 objectives, methods, data handling policies, and clear instructions on how to decline participation at

95 any time.

96

97 **2.2 Measurements**

98 Information on patient demographics (age, sex, and body mass index [BMI]) and clinical
99 characteristics (comorbidities, treatments, and clinical type of ILD) was retrieved from the medical
100 records. Patients were assessed using the Barthel Index (BI), Barthel Index-Dyspnea (BI-d), 6MWT,
101 Hospital Anxiety and Depression Scale (HADS), and EuroQol-5 Dimensions-5 Levels (EQ-5D-5L)
102 survey, and evaluation of sarcopenia (handgrip strength, 6-meter walk, and skeletal muscle mass) from
103 one week before discharge until the day before discharge. To address potential sources of bias, we
104 ensured that all evaluators were trained and adhered to standardized assessment procedures, which
105 helped minimize information bias. All patients completed pulmonary function tests using spirometry
106 (CHESTAC-8900; Chest, Tokyo, Japan) according to the American Thoracic Society/European
107 Respiratory Society (ATS/ERS) criteria within 2 weeks of these assessments (12). The diffusion
108 capacity of carbon monoxide (DLco) was also measured (CHESTAC-8900). The percentage of
109 predicted FVC (%FVC), percentage of predicted forced expiratory volume in 1.0 s (%FEV_{1.0}), and
110 percentage of predicted DLco (%DLco) were calculated based on the patient's height, age, and sex
111 according to standardized methods in Japan (13). The Gender, Age, and Physiology (GAP) score was
112 calculated based on sex, age, subtype of ILD, and pulmonary function test results (14). The 6MWT
113 was performed in accordance with the ATS guidelines (15). Risk of anxiety and depression was
114 assessed using HADS (16). HADS is a 14-item tool that consists of two parts, each with seven items:
115 HADS-A (anxiety) and HADS-D (depression). Each item is rated on a 4-point scale ranging from 0 to
116 3, with anxiety and depression rated according to the total score of HADS-A and HADS-D,
117 respectively (16). Higher scores indicate more severe distress. The EQ-5D-5L measures five
118 dimensions of health-related quality of life, namely, mobility, self-care, usual activities,
119 pain/discomfort, and anxiety/depression (17). Each item is answered on a 5-point scale, and index
120 values are calculated using an algorithm. We used the Japanese version, which has been thoroughly
121 reviewed for validity and reliability (18).

122

123 **2.3 Diagnosis of Sarcopenia Based on AWGS 2019 Criteria**

124 Sarcopenia was diagnosed based on the criteria set by the Asian Working Group for Sarcopenia

125 (AWGS) 2019, which define sarcopenia as the presence of low muscle mass, low muscle strength,
126 and/or low physical performance (1). Measurements included skeletal muscle mass index (SMI),
127 handgrip strength, and usual gait speed.

128 To establish diagnostic cut-off values, AWGS 2019 utilized reference equations derived from
129 large-scale, population-based studies across Asian countries. These values were specifically tailored to
130 reflect the normative data of Asian populations, accounting for regional differences in body
131 composition and physical function (1). Another distinguishing feature of AWGS 2019, compared to the
132 European Working Group on Sarcopenia in Older People (EWGSOP2) criteria, is its acceptance of
133 bioelectrical impedance analysis (BIA) as an alternative to dual-energy X-ray absorptiometry (DXA)
134 for assessing skeletal muscle mass. This adaptation enhances the feasibility of sarcopenia diagnosis in
135 clinical and research settings in Asia, where access to DXA may be limited (19).

136 In this study, skeletal muscle mass was measured using a multifrequency BIA system (InBody
137 S10; InBody Japan, Tokyo, Japan) in the supine position. To ensure accurate skeletal muscle mass
138 measurements, we followed standard protocols by conducting BIA assessments under fasting
139 conditions and after a sufficient rest period following exercise. The SMI was calculated using the
140 following formula: appendicular skeletal muscle mass (kg)/height² (m²). The cutoff value for low
141 muscle mass was <7.0 kg/m² for men and <5.7 kg/m² for women. Handgrip strength was measured in
142 the standing position with full elbow extension using an electronic dynamometer (TKK 5101; Takei,
143 Tokyo, Japan). The measurements were obtained twice for each hand, with the largest value used as the
144 grip strength value for analysis. The cutoff value for low muscle strength was defined as <28.0 kg for
145 men and <18.0 kg for women. Usual gait speed was measured by having the patients walk a 10-meter
146 corridor at their usual speed. The time taken to walk a 6-meter section, excluding the acceleration and
147 deceleration phases, was measured twice, and the average value was calculated. The cutoff for low
148 physical performance was defined as <1.0 m/s for both sexes. Based on this cutoff value, the patients
149 were classified into a sarcopenia group and a non-sarcopenia group.

150

151 **2.4 Barthel Index and Barthel Index-Dyspnea**

152 The ability to perform basic ADL was evaluated using the BI (20), which comprises scores
153 on a scale of 0–100, with higher scores indicating better functioning in ADL. The BI items include
154 feeding, grooming, dressing, transferring, bladder management, bowel management, toileting, bathing,

155 walking, and climbing up and down stairs. In 2016, Vitacca et al. developed the BI-d based on the BI
156 items as a respiratory disease-specific scale for ADL. The BI-d comprises a 5-point evaluation of
157 breathlessness and movement speed for each ADL item, with a total score ranging from 0 to 100 points
158 and higher scores indicate greater dyspnea during performance of ADL (21). The Japanese version of the
159 BI-d was created by Yamaguchi et al, and its reliability and validity have been demonstrated in Japanese
160 patients with chronic respiratory disease (22). On the day of evaluation, BI and BI-d were measured by
161 either observation of ADL or by interview in accordance with the guidelines (22).

162

163 **2.5 Statistical analysis**

164 Baseline characteristics are reported as the percentage for categorical data and as the median
165 (interquartile range) for continuous data. Outcomes were compared between the sarcopenia group and
166 the non-sarcopenia group using the Mann–Whitney *U* test or Fisher's exact test. The association
167 between sarcopenia and the BI or BI-d was assessed by multivariate linear regression analysis, with
168 interaction terms included to evaluate differences by such as age and sex. Univariate analyses were
169 performed first to determine the covariates and identified that BMI and %FVC were associated with the
170 BI while BMI, %FVC, and %DLco were associated with the BI-d. Owing to sample size limitations,
171 BMI and %FVC were selected as covariates, along with age and sex, which were included as basic
172 demographic variables. Selection of these covariates was based on clinical relevance and the findings
173 in previous studies (23,24,25). Variance inflation factor values were computed to assess
174 multicollinearity among the covariates. Values between 1 and 3 were considered indicative of no
175 multicollinearity. We then performed further univariate analyses to examine the relationship between
176 each component of sarcopenia and the BI and BI-d separately for men and women, given that the
177 components of sarcopenia, excluding walking ability, vary according to sex. All statistical analyses
178 were performed using JMP 16.0 software (SAS Institute Japan, Tokyo, Japan). A p-value <0.05 was
179 considered statistically significant.

180

181 **3. Results**

182 **Figure 1** shows the patient flow. During the study period, 65 patients were hospitalized with
183 stable ILD. After exclusion of 6 patients who were unable to be perform the 6MWT, 3 in whom

184 outcomes could not be assessed accurately because of dementia, 5 with musculoskeletal disorders
185 affecting physical function and gait function, and 1 with cancer, 50 Japanese patients with ILD (median
186 age, 76 years; median %FVC, 71.6%) were enrolled. Sarcopenia was diagnosed in 21 patients (42.0%).
187 All variables of interest had complete data, with no missing values reported. Patient characteristics are
188 shown in **Table 1**. The median BMI was significantly lower in the sarcopenia group than in the non-
189 sarcopenia group (17.9 vs 22.7, $p<0.01$). There was no significant between-group difference in ILD
190 subtype, medication, or pulmonary function. All components of sarcopenia, including grip strength,
191 gait speed, and SMI, were lower in men in the sarcopenia group. For women, only SMI showed a
192 significant difference between the two groups.

193 **Table 2** compares the clinical outcomes between the sarcopenia and non-sarcopenia groups.
194 BI scores were significantly lower and BI-d scores were significantly higher in the sarcopenia group
195 (85 vs 90 and 45 vs 10, respectively, both $p<0.01$). In the sub-items of BI, scores for Mobility, Stairs,
196 and Toilet use were significantly lower in the sarcopenia group. In the sub-items of BI-D, scores for
197 Dressing, Feeding, Bladder, Bowels, Mobility, Stairs, Toilet use, and Transfers were significantly
198 higher in the sarcopenia group. There was a significant decrease in the distance walked on the 6MWT
199 in the sarcopenia group (245.0 m vs 318.5 m, $p=0.03$). The HADS-D scores were significantly higher
200 in the sarcopenia group (6.0 vs 5.0, $p=0.02$); however, there was no significant between-group
201 difference in HADS-A scores. The EQ-5D-5L score was lower in the sarcopenia group, as were the
202 scores for the Mobility ($p<0.01$), Self-Care ($p<0.01$), and Usual Activities ($p=0.02$) sub-items.

203 **Table 3** shows the results of the multiple linear regression analyses. After adjusting for age,
204 sex, BMI, and %FVC, sarcopenia was significantly associated with the BI and BI-d scores ($\beta= -0.30$,
205 $p=0.03$ and $\beta= 0.45$, $p<0.01$, respectively). Furthermore, %FVC was associated with the BI-d score ($\beta=$
206 -0.37 , $p<0.01$).

207 **Figure 2** shows the associations of the three components of sarcopenia with the BI and BI-d
208 scores. SMI was found to be significantly associated with the total BI score (men, $R^2=0.24$, $\beta=0.49$,
209 $p<0.01$; women, $R^2=0.44$, $\beta=0.66$, $p<0.01$), while gait speed was significantly associated with the total
210 BI-d score (men, $R^2=0.52$, $\beta=-0.72$, $p<0.01$; women, $R^2=0.50$, $\beta=-0.71$, $p<0.01$). In men, grip strength
211 was associated with both BI and BI-d ($R^2=0.30$, $\beta=0.55$, $p<0.01$ and $R^2=0.26$, $\beta=-0.51$, $p<0.01$,
212 respectively).

213

214 **4. Discussion**

215 This study found that patients with both ILD and sarcopenia experience significantly ADL
216 impairment, diminished exercise tolerance, poorer health-related quality of life, and more pronounced
217 depressive symptoms in comparison with patients without sarcopenia. Sarcopenia was associated with
218 basic ADL and dyspnea-related limitations in patients with ILD after adjusting for age, sex, BMI
219 and %FVC. Importantly, this research is the first to elucidate the relationship between sarcopenia and
220 its components with ADL in patients who have ILD. We performed a thorough evaluation of ADL,
221 considering not only functional impairments but also the specific limitations imposed by dyspnea. Our
222 findings highlight an urgent need for early nutritional and rehabilitative interventions in patients with
223 ILD to mitigate sarcopenia and its adverse impact on day-to-day functioning. Addressing both
224 functional limitations and the unique challenges posed by dyspnea could enhance patient management
225 and optimize care strategies.

226 Previous studies have demonstrated an association between sarcopenia and ADL in
227 community-dwelling older adults (8-10, 23, 24). Sarcopenia leads to decreased energy expenditure as a
228 result of a reduction in the basal metabolic rate because of loss of skeletal muscle mass, which in turn
229 causes a decrease in appetite and further progression of sarcopenia. Moreover, the muscle weakness
230 and physical function decline associated with sarcopenia contribute to impairment of ADL, leading to
231 reduced activity levels and perpetuating this vicious cycle (26). Patients with chronic respiratory
232 disease, including those with ILD, experience a further decline in activity levels as a result of dyspnea
233 during daily activities, which significantly impacts their ADL (27). Our study confirms that sarcopenia
234 in patients with ILD is independently associated with a decline in basic ADL even after adjusting for
235 age, sex, BMI, and %FVC.

236 Although reports on the impact of sarcopenia on outcomes in patients with ILD are limited,
237 Fujita et al. found that sarcopenia correlated with a reduced 6MWD and poorer patient-reported
238 outcomes, including St George's Respiratory Questionnaire-activity and HADS-D scores, in patients
239 with idiopathic pulmonary fibrosis (25). Our study identified similar trends, with reductions in 6MWD,
240 increased HADS-D scores, and decreased EQ-5D-5L scores in the sarcopenia group. These findings
241 suggest that sarcopenia affects not only functional but also psychological and social parameters,
242 although further research is needed to explore these multifaceted interactions (28).

243 The association between sarcopenia and exertional dyspnea in patients with ILD has been

244 reported elsewhere (6,7). Tests such as the 6MWT (15) and sit-to-stand test (29) are used to evaluate
245 exertional dyspnea but focus on assessment of a single task primarily using the lower limbs. However,
246 ADL tasks are complex and involve continuous movements, including movements of the upper limbs,
247 trunk inclination, and breath-holding, leading to limitations in thoracic and diaphragmatic motion or
248 disruptions in breathing rhythm (30). The relationship between dyspnea during these ADL tasks and
249 sarcopenia in patients with ILD has not been thoroughly investigated. Our study found an association
250 between sarcopenia and the total BI-d score after adjusting for age, sex, BMI and pulmonary function,
251 indicating that sarcopenia is related to exertional dyspnea during ADL. This finding suggests the need
252 for individualized ADL training tailored to specific limitations and environments to improve dyspnea
253 during activities.

254 There are no reports demonstrating an association between any of the components of
255 sarcopenia and ADL in patients with ILD. In this study, SMI was associated with the BI score in both
256 sexes, whereas grip strength was associated with the BI score only in men. Previous study has reported
257 that skeletal muscle atrophy and loss of muscle mass are associated with impaired ADL in patients with
258 COPD (31). This impairment of ADL is associated with cachexia, which is characterized by increased
259 energy expenditure due to inflammatory cytokines (32, 33), and may also contribute to the ADL decline
260 in patients with ILD (34). Conversely, the BI-d score was associated with gait speed in both sexes,
261 showing a moderate fit. Usual gait speed assessed by the 4-meter walk test was reported to identify
262 patients with idiopathic pulmonary fibrosis and significantly worse exercise performance, dyspnea,
263 health status, and prognosis scores despite similar pulmonary function and radiological parameters
264 (35). Yoshida et al. reported that the physical activity level of patients with chronic respiratory disease
265 can be predicted by gait speed (36). These studies suggest that gait speed may be related to limitations
266 in performance of ADL owing to dyspnea in patients with ILD. Fischer et al. reported that patients with
267 ILD have worse gait instability and a slower gait speed to alleviate dyspnea (37). Approaches targeting
268 gait stability could potentially lead to improvements in clinical outcomes for patients with ILD. Grip
269 strength was associated with both BI and BI-d scores only in men, possibly because women generally
270 have lower muscle strength.

271 This study had several limitations. First, it had a cross-sectional design and a limited sample
272 size, which may limit the generalizability of its findings. Future longitudinal studies with larger sample
273 sizes should be conducted to further explore the relationship between sarcopenia and ADL.

274 Additionally, the lack of a control group, such as COPD patients or healthy individuals, limited our
275 ability to compare sarcopenia-related ADL impairments across different populations. Future studies
276 should include such control groups to provide broader context and strengthen the generalizability of
277 findings. Second, while the BI-d has demonstrated reliability and validity as an indicator of the ability
278 of patients with chronic respiratory disease in Japan to perform ADL, caution is needed when applying
279 this tool in patients with ILD because the majority of participants in the original validation study had
280 COPD. However, Japan has very few disease-specific ADL scales for respiratory diseases that are both
281 established domestically and widely recognized internationally with proven reliability and validity.
282 Given this limitation, the BI-d remains one of the most valuable tools currently available for assessing
283 ADL in ILD patients. The BI-d has been widely used across a range of respiratory diseases
284 internationally, and future research should focus on developing ADL assessment scales specifically for
285 ILD. Third, the ADL assessments included not only observations of performance of ADL but also
286 patient-reported information, which may have introduced a degree of subjectivity. Moreover, dynamic
287 respiratory physiological parameters during ADL could not be measured owing to the COVID-19
288 pandemic. Fourth, all evaluations were conducted during hospitalization because outpatient
289 assessments were not feasible due to restrictions during the COVID-19 pandemic. As assessments took
290 place in a hospital environment rather than patients' usual living settings, factors such as reduced daily
291 activity or hospital routines may have influenced physical performance. Although evaluations were
292 performed near discharge when patients were clinically stable, potential effects of hospitalization
293 cannot be entirely ruled out. Despite these limitations, our study provides significant insights into the
294 association between sarcopenia and performance of ADL in patients with ILD. Our findings underscore
295 the importance of incorporating comprehensive assessments of sarcopenia in the management of
296 patients with ILD. Future research should address these limitations and validate the relationships
297 observed in this study to enhance our understanding and improve clinical outcomes in this patient
298 population.

299

300 **5. Conclusions**

301 This study demonstrates that sarcopenia significantly impacts ADL in patients with ILD, leading to
302 reduced functional capacity, poorer health-related quality of life, and worsening of depressive
303 symptoms. Notably, sarcopenia remained associated with ADL even after adjusting for age, sex, BMI,

304 and %FVC. Importantly, we identified that specific components of sarcopenia, namely, SMI and gait
305 speed, associated with performance of ADL. This research is the first to elucidate these relationships in
306 patients with ILD and underscores the need for tailored interventions that address both functional
307 limitations and dyspnea. While our findings are limited by the cross-sectional design of this study, they
308 highlight the importance of incorporating comprehensive assessments of sarcopenia into clinical
309 management to enhance patient outcomes. Future studies should focus on larger, longitudinal cohorts to
310 confirm our findings.

311

312 **Acknowledgments**

313 The authors are grateful to the staff of the Department of Rehabilitation Medicine, Hyogo Medical
314 University Hospital, for their assistance with this research.

315 Funding: None

316 **Footnote**

317 We present this article in accordance with the STROBE reporting checklist.

318

319 Conflicts of Interest: All authors have completed the ICMJE uniform disclosure form. The authors have
320 no conflicts of interest to declare.

321

322 Ethical Statement: This cross-sectional study was approved by the Ethics Committee of Hyogo Medical
323 University (approval no. 4683 approval date April 26, 2024) and conducted following the principles of
324 the Declaration of Helsinki. Written informed consent was obtained from all patients. Using the opt-out
325 method, participants were given the opportunity to decline to participate in the study. The authors are
326 accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of
327 any part of the work are appropriately investigated and resolved.

328

329

330

331

332 **References**

- 333 [1] Liang-Kung Chen, Jean Woo, [Prasert Assantachai](#), et al. Asian Working Group for Sarcopenia: 2019
334 Consensus Update on Sarcopenia Diagnosis and Treatment. *J Am MedDir Assoc* 2020; 21:300-307
- 335 [2] Kelley GA, Kelley KS. Is sarcopenia associated with an increased risk of all-cause mortality and
336 functional disability? *Exp Gerontol.* 2017; 96:100–103.
- 337 [3] Xu W, Chen T, Cai Y, et al. Sarcopenia in community-dwelling oldest old is associated with disability
338 and poor physical function. *J Nutr Health Aging.* 2020; 24: 339–345.
- 339 [4] Alessandra Marengoni, Davide L Vetrano, Ester Manes-Gravina, et al. The Relationship Between
340 COPD and Frailty: A Systematic Review and Meta-Analysis of Observational Studies. *Chest* 2018;
341 154:21-40
- 342 [5] Travis WD, Costabel U, Hansell DM, et al. An official American Thoracic Society/European
343 Respiratory Society statement: update of the international multidisciplinary classification of the
344 idiopathic interstitial pneumonias. *Am J Respir Crit Care Med.* 2013; 188: 733-748.
- 345 [6] Hanada M, Sakamoto N, Ishimoto H ,et al. A comparative study of the sarcopenia screening in older
346 patients with interstitial lung disease. *BMC Pulm Med* 2022;22:45–54.
- 347 [7] Hanada M, Tanaka T, Kozu R, et al. The interplay of physical and cognitive function in rehabilitation
348 of interstitial lung disease patients: a narrative review. *J Thorac Dis.* 2023; 15: 4503-4521.
- 349 [8] Miguel A Perez-Sousa, Luis Carlos Venegas-Sanabria, Diego Andrés Chavarro-Carvajal, et al. Gait
350 speed as a mediator of the effect of sarcopenia on dependency in activities of daily living. *J Cachexia*
351 *Sarcopenia Muscle* 2019;10:1009-1015.
- 352 [9] Gulistan Bahat, Asli Tufan, Cihan Kilic, et al. Prevalence of sarcopenia and its components in
353 community-dwelling outpatient older adults and their relation with functionality. *Aging Male* 2020; 23:
354 424-430.
- 355 [10] Daniel X M Wang, Jessica Yao, Yasar Zirek, et al. Muscle mass, strength, and physical performance
356 predicting activities of daily living: a meta-analysis. *J Cachexia Sarcopenia Muscle* 2020; 11: 3-25.
- 357 [11] William D Travis, Ulrich Costabel, David M Hansell, et al; ATS/ERS Committee on Idiopathic
358 Interstitial Pneumonias: An official American Thoracic Society/European Respiratory Society statement :
359 update of the international multidisciplinary classification of the idiopathic interstitial pneumonias. *Am*
360 *J Respir Crit Care Med* 2013; 188: 733-748

361 [12] Laszlo G. Standardisation of lung function testing: helpful guidance from the ATS/ERS Task Force.
362 Thorax. 2006; 61: 744–746

363 [13] Kubota M, Kobayashi H, Quanjer PH, et al. Reference values for spirometry, including vital capacity,
364 in Japanese adults calculated with the LMS method and compared with previous values. Respir Investig.
365 2014; 52: 242–250

366 [14] Christopher J Ryerson, Eric Vittinghoff, Brett Ley, et al. Predicting survival across chronic
367 interstitial lung disease: the ILD-GAP model. Chest 2014; 145: 723–728.

368 [15] ATS Committee on Proficiency Standards for Clinical Pulmonary Function Laboratories: ATS
369 Statement: guidelines for the six-minute walk test. Am J Respir Crit Care Med 2002;166:111–117.

370 [16] Zigmond AS, Snaith RP. The Hospital Anxiety and Depression Scale. Acta Psychiatr Scand.
371 1983;67(6):361–370.

372 [17] Herdman M, Gudex C, Lloyd A, et al. Development and preliminary testing of the new five-level
373 version of EQ-5D (EQ-5D-5L). Qual Life Res. 2011; 20: 1727–1736.

374 [18] Takeru Shiroiwa, Takashi Fukuda, Shunya Ikeda, et al. Japanese population norms for preference-
375 based measures: EQ-5D-3L, EQ-5D-5L, and SF-6D. Qual Life Res. 2016; 25 :707-719.

376 [19] Cruz-Jentoft AJ, Bahat G, Bauer J, et al. Sarcopenia: Revised European consensus on definition
377 and diagnosis. Age Ageing 2019;48:16-31

378 [20] Mahoney FI, Barthel DW: Functional evaluation: the Barthel index. Md State Med J 1965;14:61–
379 65.

380 [21] Michele Vitacca, Mara Paneroni, Paola Baiardi, et al. Development of a Barthel Index based on
381 dyspnea for patients with respiratory diseases. Int J Chron Obstruct Pulmon Dis 2016; 11 :1199-1206.

382 [22] Takumi Yamaguchi, Akio Yamamoto, Yutaro Oki, et al. Reliability and Validity of the Japanese
383 Version of the Barthel Index Dyspnea Among Patients with Respiratory Diseases. Int J Chron Obstruct
384 Pulmon Dis 2021; 21:1863-187.

385 [23] Aih -Fung Chiu, Ming- Yueh Chou, Chih-Kuang Liang, et al. Barthel Index, but not Lawton and
386 Brody instrumental activities of daily living scale associated with Sarcopenia among older men in a
387 veterans' home in southern Taiwan. Eur Geriatr Med 2020; 11: 737–744.

388 [24] Morandi A, Onder G, Fodri L, et al. The Association between the probability of sarcopenia and
389 functional outcomes in older patients undergoing in-hospital rehabilitation. J Am Med Dir Assoc 2015;
390 16: 951–956

391 [25] Fujita K, Ohkubo H, Nakano A, et al. Frequency and impact on clinical outcomes of sarcopenia in
392 patients with idiopathic pulmonary fibrosis. *Chron Respir Dis* 2022; 19: 14799731221117298.

393 [26] L P Fried, C M Tangen, J Walston, et al; Cardiovascular Health Study Collaborative Research
394 Group. Frailty in older adults: evidence for a phenotype. *J Gerontol A Biol Sci Med Sci* 2001; 56:
395 M146-156.

396 [27] Gisli Thor Axelsson, Rachel K Putman, Tetsuro Araki, et al. Interstitial lung abnormalities and
397 self-reported health and functional status. *Thorax* 2018;73:884-886.

398 [28] Lucy Fettes, Joanne Bayly, Emeka Chukwusa, et al. Predictors of increasing disability in activities
399 of daily living among people with advanced respiratory disease: a multi-site prospective cohort study,
400 England UK. *Disability and Rehabilitation* 2023; 10:1-10.

401 [29] Goldberg, A., Chavis, M., Watkins, J., et al. The five-times-sit-to-stand test: Validity, reliability and
402 detectable change in older females. *Aging Clin. Exp. Res.* 2012; 24: 339–344

403 [30] Siri Skumlien, Turid Hagelund, Oystein Bjørtuft, et al. A field test of functional status as
404 performance of activities of daily living in COPD patients. *Respir Med* 2006;100: 316–323

405 [31] Cassie C Kennedy, Paul J Novotny, Nathan K LeBrasseur, et al. Frailty and Clinical Outcomes in
406 Chronic Obstructive Pulmonary Disease. *Ann Am Thorac Soc* 2019; 16: 217–224.

407 [32] Schols, A. M., Broekhuizen, R., Weling-Scheepers, C. A., et al. Body composition and mortality in
408 chronic obstructive pulmonary disease. *Am. J. Clin. Nutr.* 2005; 82: 53–59.

409 [33] Tadashi Yoshida, A Michael Tabony, Sarah Galvez, et al. Molecular mechanisms and signaling
410 pathways of angiotensin II-induced muscle wasting: potential therapeutic targets for cardiac cachexia.
411 *Int. J. Biochem. Cell Biol.* 2013; 45: 2322–2332.

412 [34] Shinsuke Kitahara, Mitsuhiro Abe, Chiyoko Kono, et al. Prognostic impact of the cross-sectional
413 area of the erector spinae muscle in patients with pleuroparenchymal fibroelastosis. *Sci Rep* 2023;
414 13:17289.

415 [35] Claire M Nolan, Matthew Maddocks, Toby M Maher, et al. Phenotypic characteristics associated
416 with slow gait speed in idiopathic pulmonary fibrosis. *Respirology* 2018; 23: 498–506

417 [36] Chieko Yoshida, Hidenori Ichiyasu, Hideharu Ideguchi, et al. Four-meter gait speed predicts daily
418 physical activity in patients with chronic respiratory diseases. *Respiratory Investigation* 2019; 57: 368–
419 375

420 [37] Gabriela Fischer, Francisco B. de Queiroz, Danilo C. Berton, et al. Factors influencing self-selected

421 walking speed in fibrotic interstitial lung disease. Sci Rep 2021; 11: 12459

422

423 Figure Legend

424 Figure 1. Patient Flow Diagram

425 During the study period, 65 patients were hospitalized with stable ILD. After exclusion of 6 patients

426 who were unable to perform the 6MWT, 3 in whom outcomes could not be assessed accurately

427 because of dementia, 5 with musculoskeletal disorders affecting physical function and gait function,

428 and 1 with cancer, 50 Japanese patients with ILD were enrolled. Sarcopenia was diagnosed in 21

429 patients (42.0%).

430

431 Figure 2. Association of components of sarcopenia with BI and BI-d scores.

432 A) and C) illustrates that SMI was found to be the component of sarcopenia that was significantly

433 associated with the total BI score (men, $R^2=0.24$, $\beta=0.49$, $p<0.01$; women, $R^2=0.44$, $\beta=0.49$, $p<0.01$).

434 In both sexes, gait speed was significantly associated with the total BI-d score (men, $R^2=0.52$, $\beta=-0.72$,

435 $p<0.01$; women, $R^2=0.50$, $\beta=0.49$, $p<0.01$, F) and H)). In men, grip strength was associated with both

436 BI and BI-d scores ($R^2=0.30$, $\beta=0.55$, $p<0.01$ and $R^2=0.26$, $\beta=-0.51$, $p<0.01$, respectively, B) and E)).

437 In women, grip strength was not associated with either the Barthel Index (BI) or the Barthel Index-

438 dyspnea (BI-d) (D) and G)).

439

440

441

442

Table 1. Patient Demographics and Clinical Characteristics

Variable	All patients (n=50)	Sarcopenia group (n=21)	Non-Sarcopenia group (n=29)	p-value
Age, years	76.0 (67.0–82.0)	78.0 (68.0–83.5)	76.5 (65.6–80.8)	0.25
Male sex, %	58.0	66.7	51.7	0.39
BMI, kg/m ²	20.4 (17.1–23.2)	17.9 (14.5–20.7)	22.7 (20.0–24.2)	<0.01
CCI	3 (3–4)	4 (3–4)	3 (3–4)	0.93
Type of ILD, %				
IPF/CTD-ILD/NSIP/PPFE/CHP/unclassifiable	40.0/18.0/14.0/4.0/2.0/22.0	42.9/9.5/19.1/4.8/0/23.8	37.9/24.1/10.3/0/6.9/20.7	0.63
Use of corticosteroids, %	38.0	23.8	48.3	0.14
Use of antifibrotic agents, %	42.0	47.6	37.9	0.56
LTOT, %	44.0	47.6	41.4	0.78
GAP score	3.5 (2–5)	4 (3–5)	3 (1–5)	0.16
%FVC, %	71.6 (53.2–86.7)	64.7 (43.9–79.8)	74.5 (57.9–91.6)	0.08
FEV _{1.0} , % predicted	67.7 (60.2–90.5)	67.7 (48.5–89.1)	68.2 (60.7–90.7)	0.40
FEV _{1.0} /FVC, %	81.6 (76.4–90.8)	85.0 (76.0–93.0)	80.9 (76.9–89.2)	0.54
DLco, % predicted	55.2 (44.2–66.0)	54.1 (37.8–68.7)	56.3 (46.7–66.1)	0.83
Grip strength, kg	Men	22.5 (18.9–28.5)	19.4 (16.1–22.4)	<0.01
	Women	18.9 (15.5–21.7)	15.6 (15.3–20.3)	0.47
Gait speed, m/s	Men	1.04 (0.97–1.18)	0.98 (0.91–1.04)	<0.01
	Women	1.03 (0.82–1.18)	1.03 (0.82–1.04)	0.17
SMI, kg/m ²	Men	6.7 (6.3–7.5)	6.6 (5.6–6.7)	<0.01
	Women	5.8 (5.4–6.2)	5.1 (4.5–5.5)	0.02

Data are presented as the median (interquartile ranges) or frequency (percentage). The p-value represents the comparison between the sarcopenia group and the non-sarcopenia group. Statistically significant findings ($p < 0.05$) are written in bold font. Abbreviations: BMI, body mass index; CCI, Charlson Comorbidity Index; CHP, chronic hypersensitivity pneumonitis; CTD-ILD, connective tissue disease; DLco, diffusing capacity of the lung for carbon monoxide; FEV_{1.0}, forced expiratory volume in 1 second; FVC, forced vital capacity; GAP score, Gender, Age and Physiology score; ILD, interstitial lung disease; IPF, idiopathic pulmonary fibrosis; LTOT, long-term oxygen therapy; NSIP, nonspecific interstitial pneumonia; PPFE, pleuroparenchymal fibroelastosis;

SMI, skeletal muscle mass index

Table 2. Clinical Outcomes in the Sarcopenia and Non-Sarcopenia Groups

Clinical Outcomes	Sarcopenia group (n=21)	Non-Sarcopenia group (n=29)	p-value
BI			
Total Score	85.0 (77.5–87.5)	90.0 (85.0–92.5)	<0.01
Dressing	10.0 (10.0–10.0)	10.0 (10.0–10.0)	0.17
Bathing	5.0 (2.5–5.0)	5.0 (5.0–5.0)	0.19
Feeding	5.0 (5.0–10.0)	5.0 (5.0–10.0)	0.58
Grooming	5.0 (5.0–5.0)	5.0 (5.0–5.0)	0.99
Bladder	10.0 (10.0–10.0)	10.0 (10.0–10.0)	0.88
Bowels	5.0 (5.0–5.0)	5.0 (5.0–7.5)	0.87
Mobility	10.0 (10.0–15.0)	15.0 (10.0–15.0)	0.03
Stairs	5.0 (5–10)	10.0 (5.0–10.0)	0.04
Toilet use	10.0 (5.0–10.0)	10.0 (10.0–10.0)	<0.01
Transfers	15.0 (15.0–15.0)	15.0 (15.0–15.0)	0.17
BI-d			
Total Score	45.0 (22.5–49.5)	10.0 (8.0–30.0)	<0.01
Dressing	2.0 (0–5.0)	0 (0–2.0)	0.02
Bathing	3.0 (0–4.0)	0 (0–3.0)	0.08
Feeding	2.0 (0–2.0)	0 (0–0)	0.02
Grooming	1.0 (0–3.0)	0 (0–1.0)	0.07
Bladder	2.0 (0–5.0)	0 (0–2.0)	0.03
Bowels	5.0 (0–5.0)	0 (0–2.0)	<0.01
Mobility	8.0 (8.0–12.0)	3.0 (3.0–12.0)	<0.01
Stairs	10.0 (8.0–10.0)	5.0 (5.0–9.0)	<0.01
Toilet use	2.0 (0–5.0)	0 (0–2.0)	0.02
Transfers	0 (0–3.0)	0 (0–0)	<0.01
6MWD, m	245.0 (165.0–338.0)	318.5 (234.5–381.5)	0.03
HADS-A	5.0 (4.0–7.0)	4.5 (3.0–6.0)	0.07
HADS-D	6.0 (5.0–8.0)	5.0 (3.3–6.8)	0.02
EQ-5D5L (1/2/3/4/5), %			
Index Score	0.58 (0.45–0.77)	0.84 (0.70–0.88)	<0.01
Mobility	0/14.3/38.1/28.6/19.0	3.6/53.6/21.4/21.4/0	<0.01
Self-Care	14.3/28.6/42.9/14.3/0	60.7/21.4/14.3/3.6	<0.01
Usual Activities	19.1/23.8/42.9/9.5/4.8	53.6/32.1/10.7/3.6/0	0.02
Pain/Discomfort	28.6/47.6/19.2/4.8/0	53.4/35.7/10.7/0/0	0.06
Anxiety/Depression	19.1/52.3/23.8/4.8/0	46.4/42.9/10.7/0/0	0.11

Data are presented as the median (interquartile range). The p-value represents the comparison between the sarcopenia group and the non-sarcopenia group. Statistically significant findings ($p < 0.05$) are written in bold font. Abbreviations: BI, Barthel Index; BI-d, Barthel Index-Dyspnea; EQ-5D5L, EuroQol-5 Dimensions5 Levels; HADS, Hospital Anxiety and Depression Scale; 6MWD, six-minute walk distance

Table 3. Association of Sarcopenia with Barthel Index and Barthel Index-Dyspnea Identified by Multivariate Linear Regression Analysis

Table 3A. Multivariate Linear Regression Analysis of Barthel Index

	Barthel Index					
	Model 1		Model 2		Model 3	
	β	p-value	β	p-value	β	p-value
Sarcopenia	-0.43	<0.01	-0.34	0.02	-0.30	0.03
Age	-0.05	0.68	-0.05	0.65	-0.07	0.60
Sex (male)	-0.17	0.18	-0.17	0.17	-0.20	0.11
BMI			0.22	0.11	0.16	0.26
%FVC					0.20	0.15

Table 3B. Multivariate Linear Regression Analysis of Barthel Index-Dyspnea

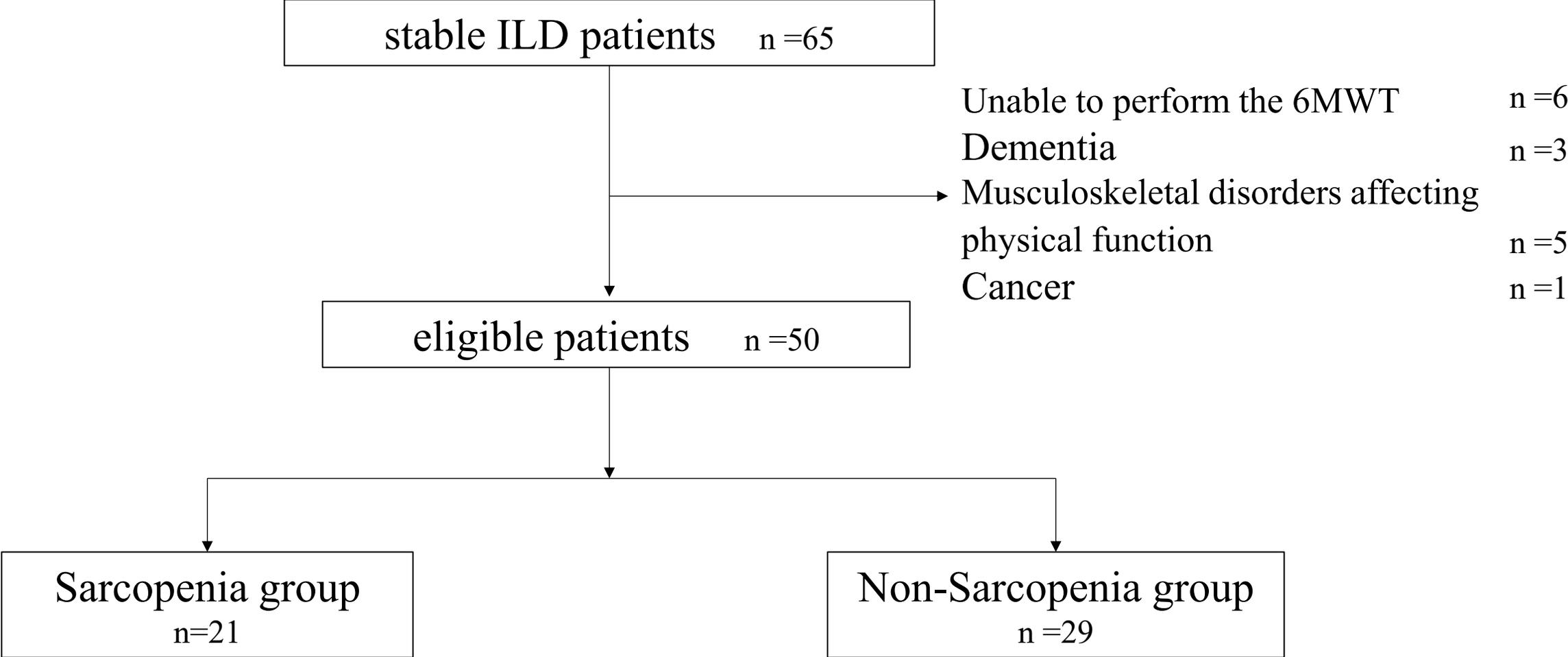
	Barthel Index-Dyspnea					
	Model 1		Model 2		Model 3	
	β	p-value	β	p-value	β	p-value
Sarcopenia	0.55	<0.01	0.52	<0.01	0.45	<0.01
Age	-0.13	0.31	-0.15	0.33	-0.11	0.36
Sex (male)	-0.15	0.23	-0.13	0.24	-0.10	0.43
BMI			-0.08	0.57	0.04	0.76
%FVC					-0.37	<0.01

Model 1: adjusted for age and sex. Model 2: adjusted for age, sex and BMI. Model 3: adjusted for age, sex, BMI, and % FVC

Statistically significant p-values (<0.05) are in bold font.

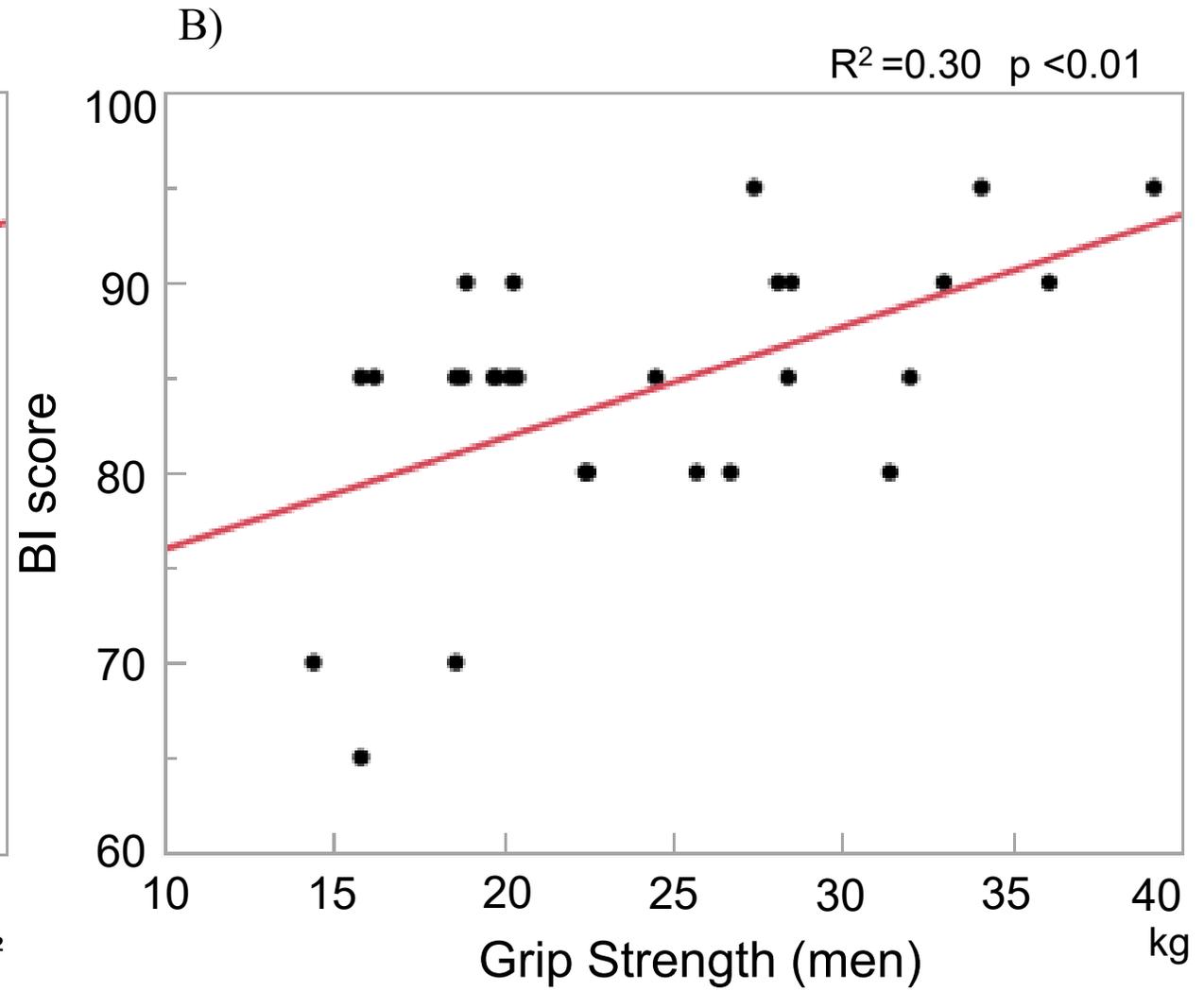
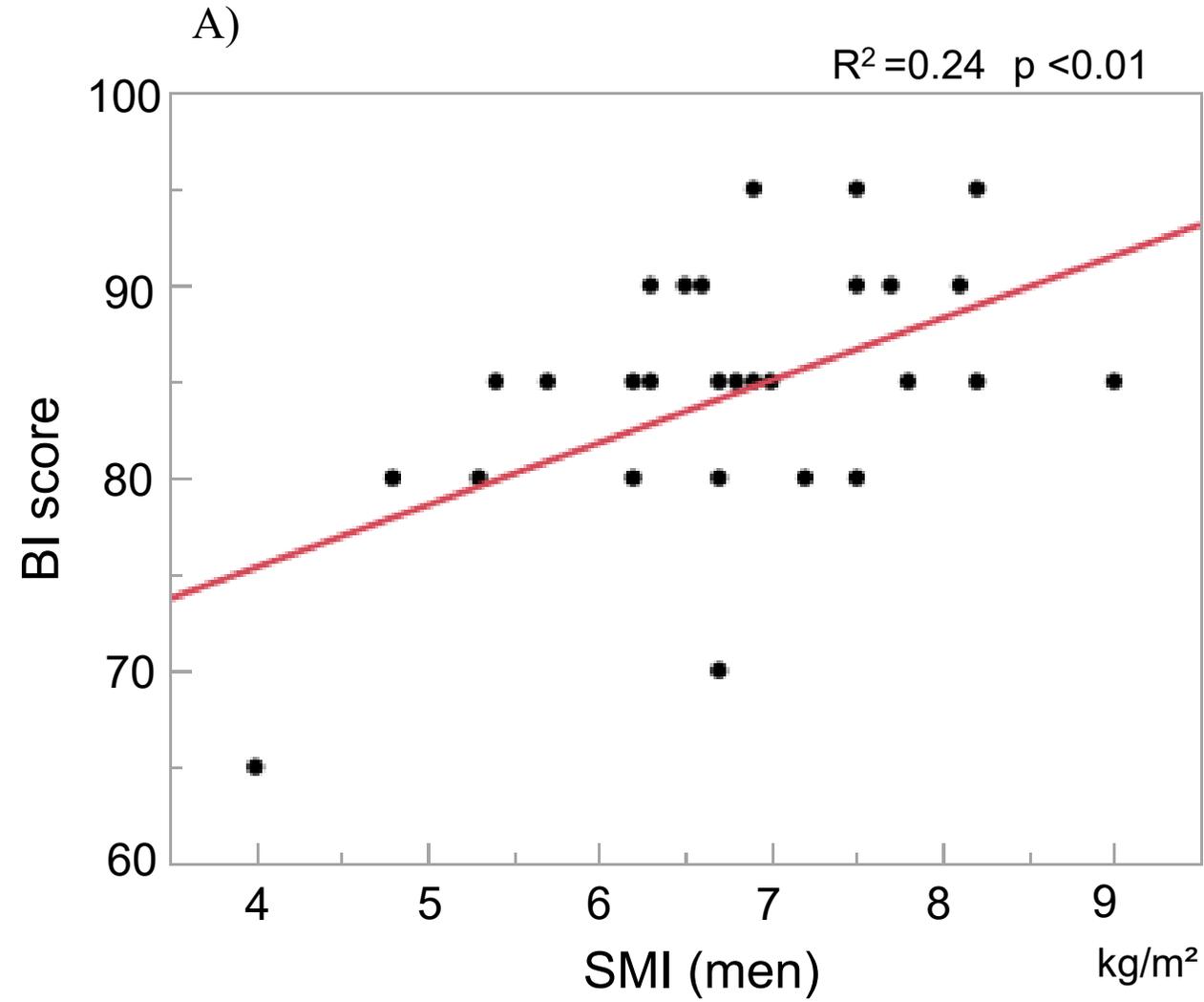
Abbreviations: BMI, body mass index; %FVC, percent predicted forced vital capacity

Figure 1. Patient Flow Diagram



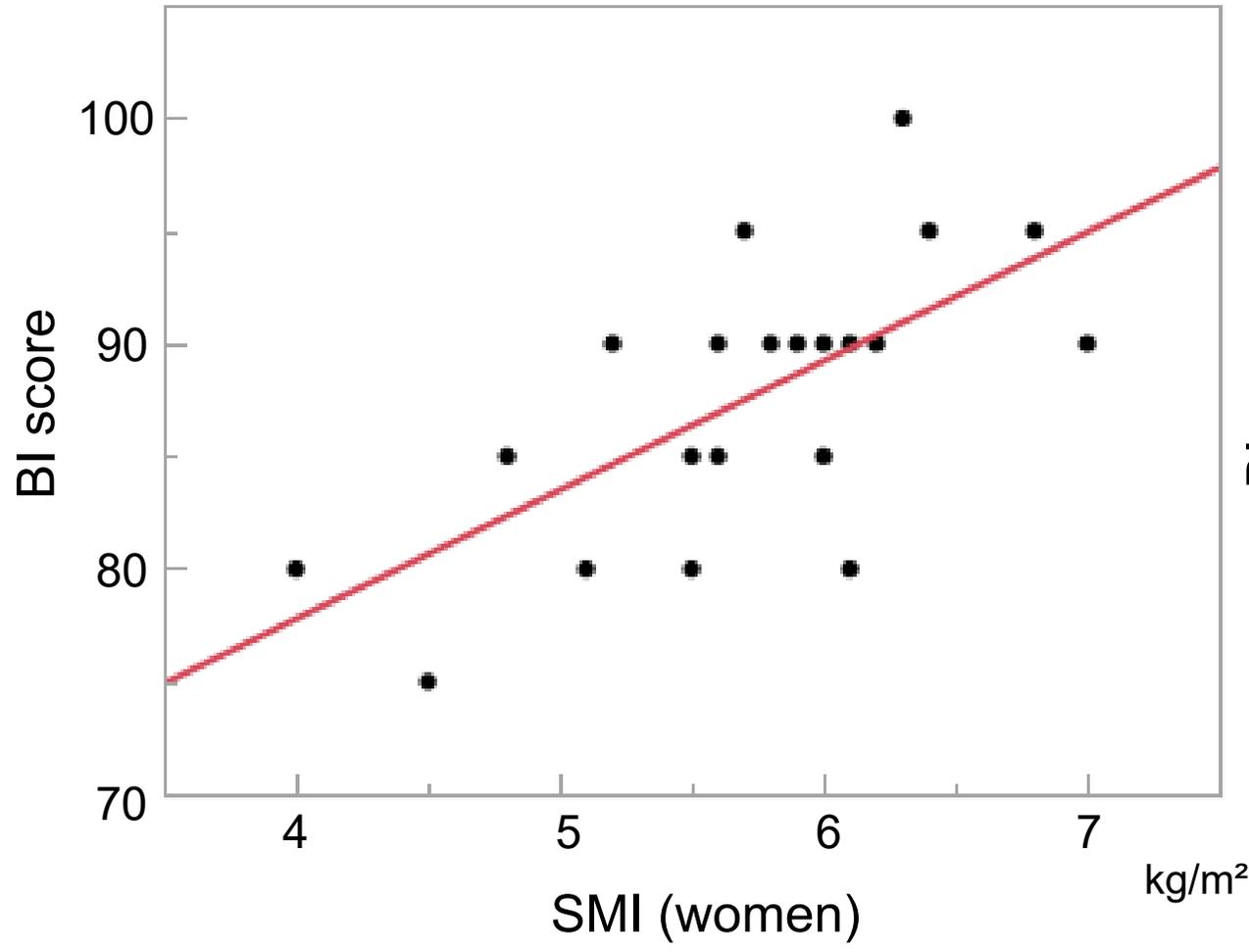
Abbreviations: ILD, interstitial lung disease; 6MWT, six-minute walk test

Figure 2. Association of components of sarcopenia with BI and BI-d scores.



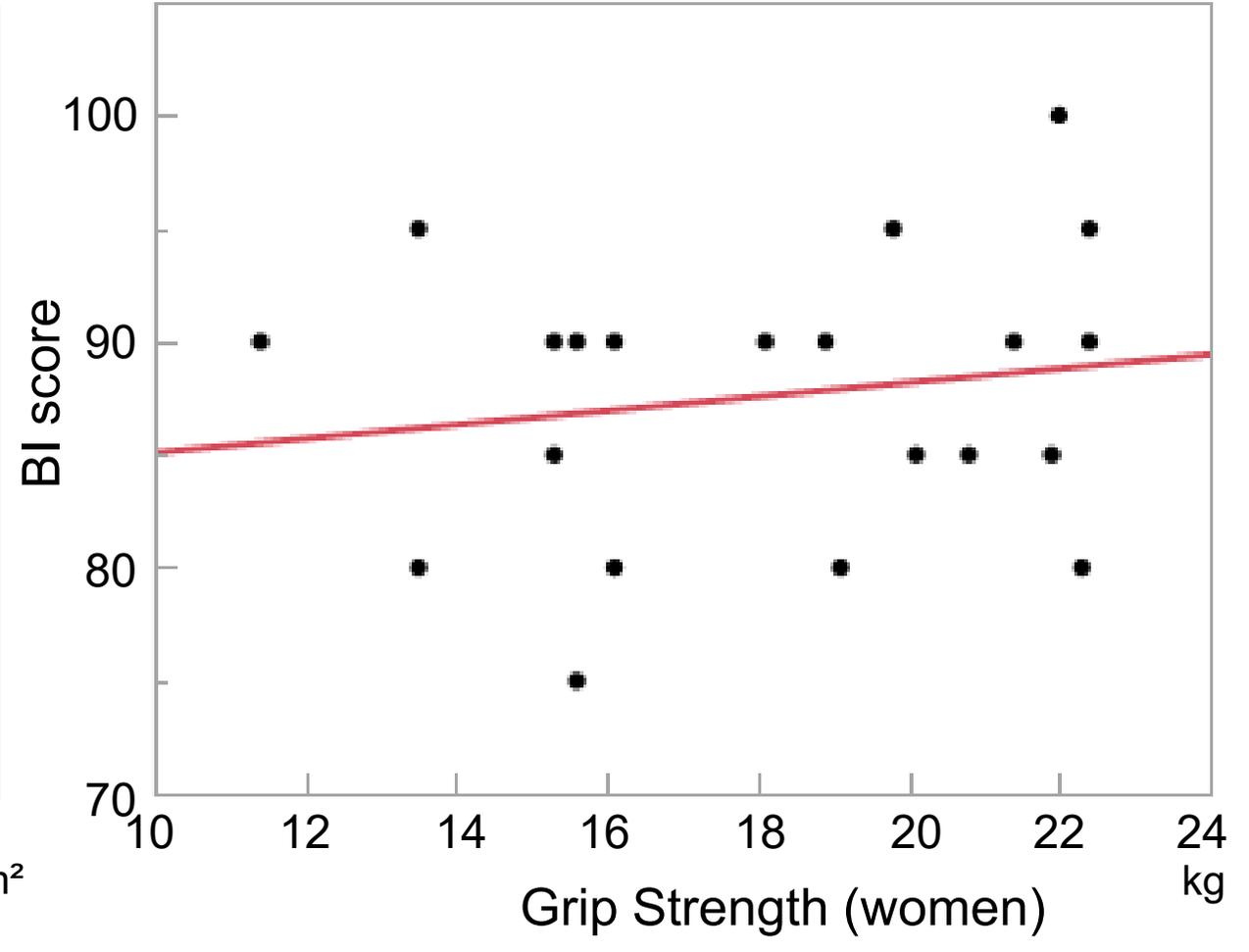
C)

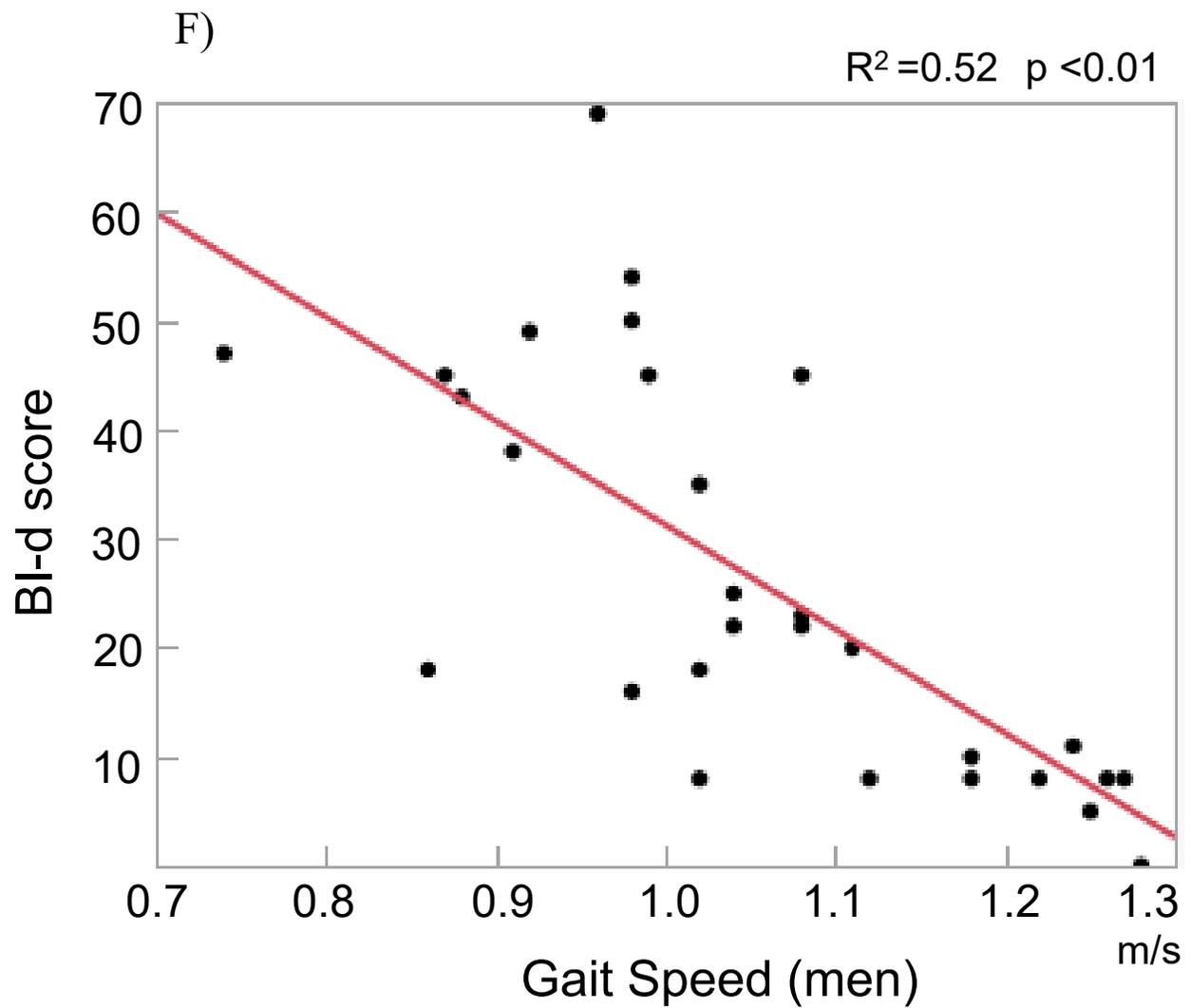
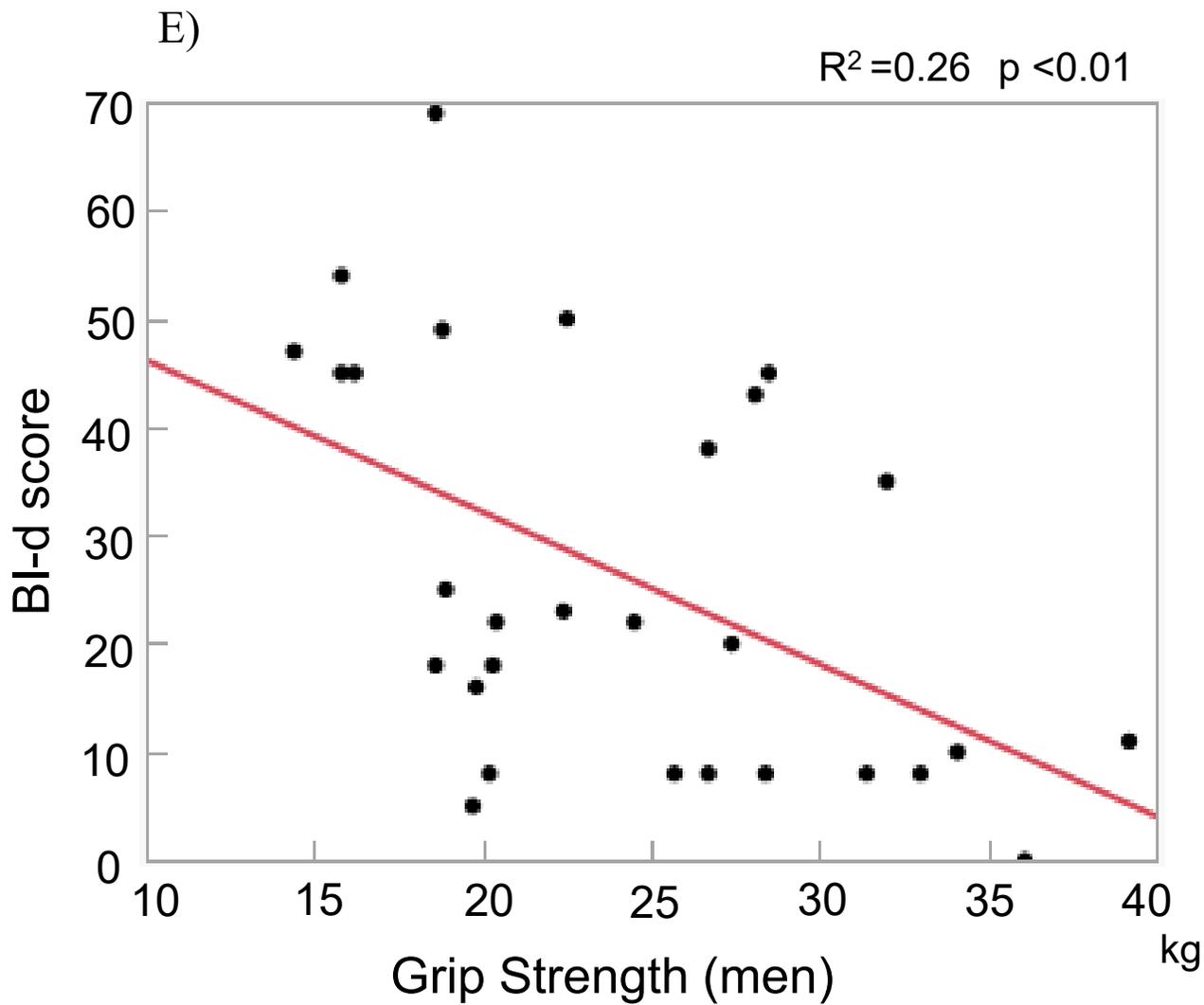
$R^2=0.44$ $p < 0.01$

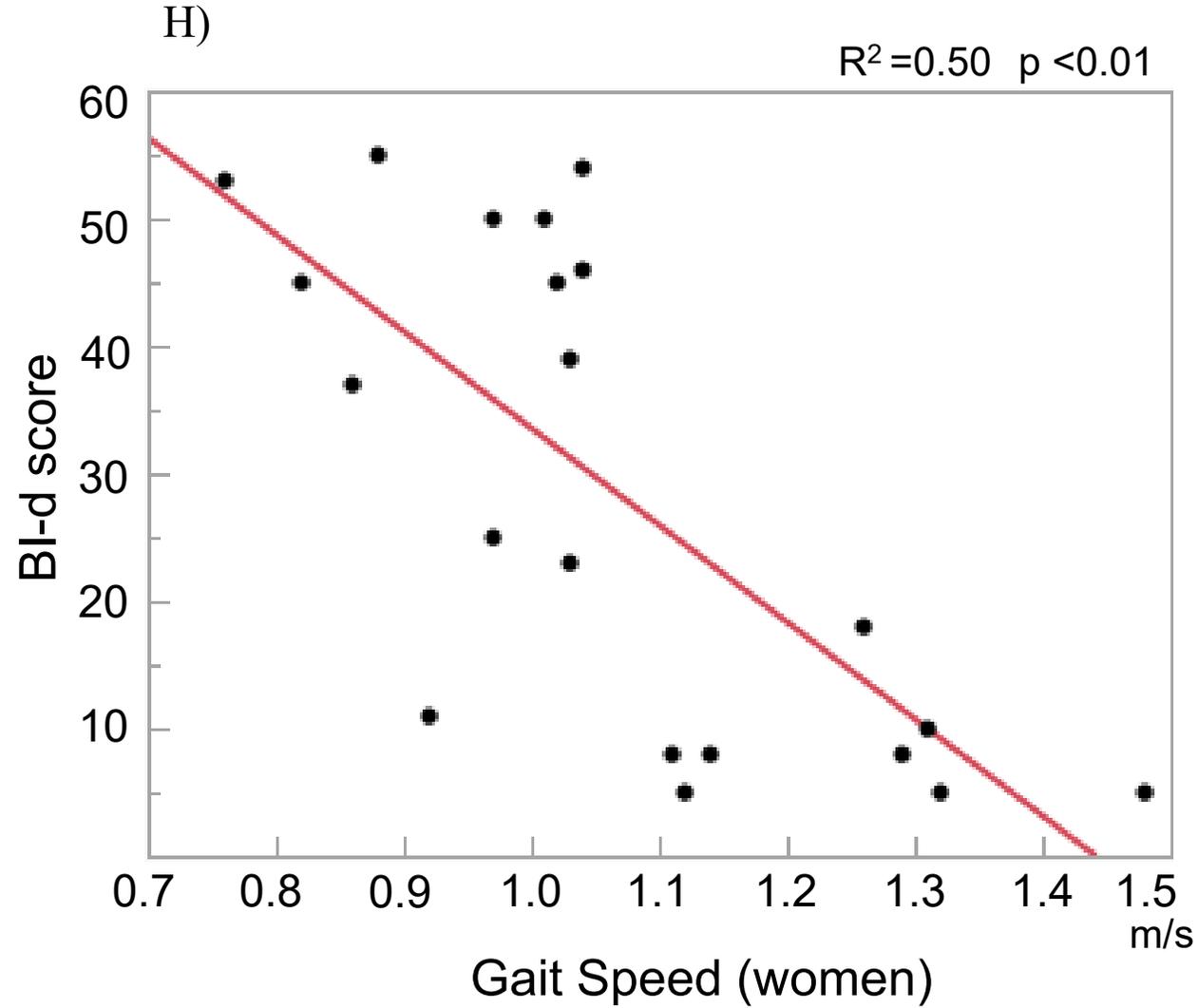
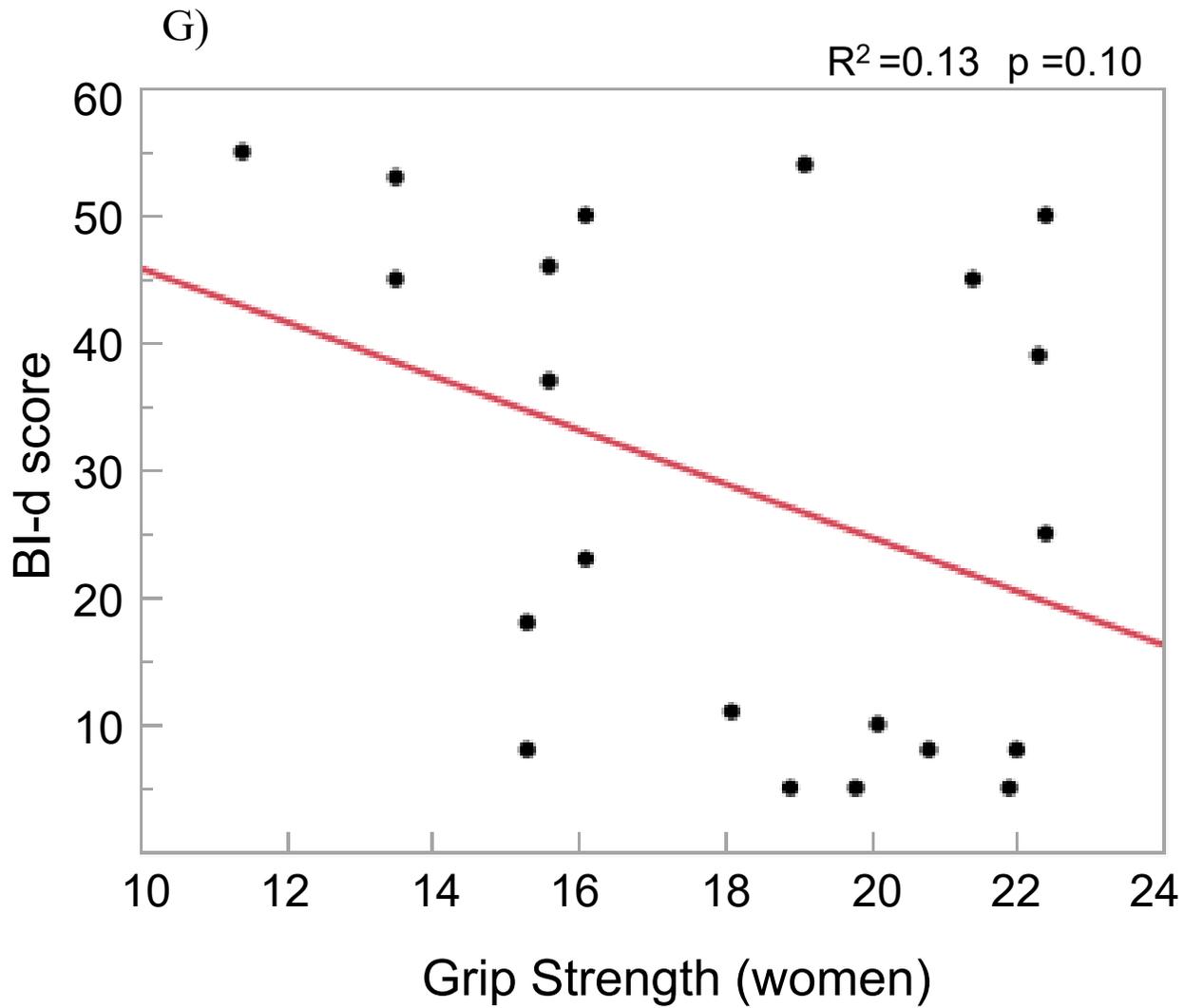


D)

$R^2=0.03$ $p = 0.46$







Abbreviations: BI, Barthel Index; BI-d, Barthel Index-Dyspnea; SMI, skeletal muscle mass index